#### **Neutrino Mass Generation**

Xiao-Gang He

Tsung Dao Lee Institute
Shanghai Jiao Tong University

Neutrino, Nuclear Physics, and New Physics Symposium (vNN2025)

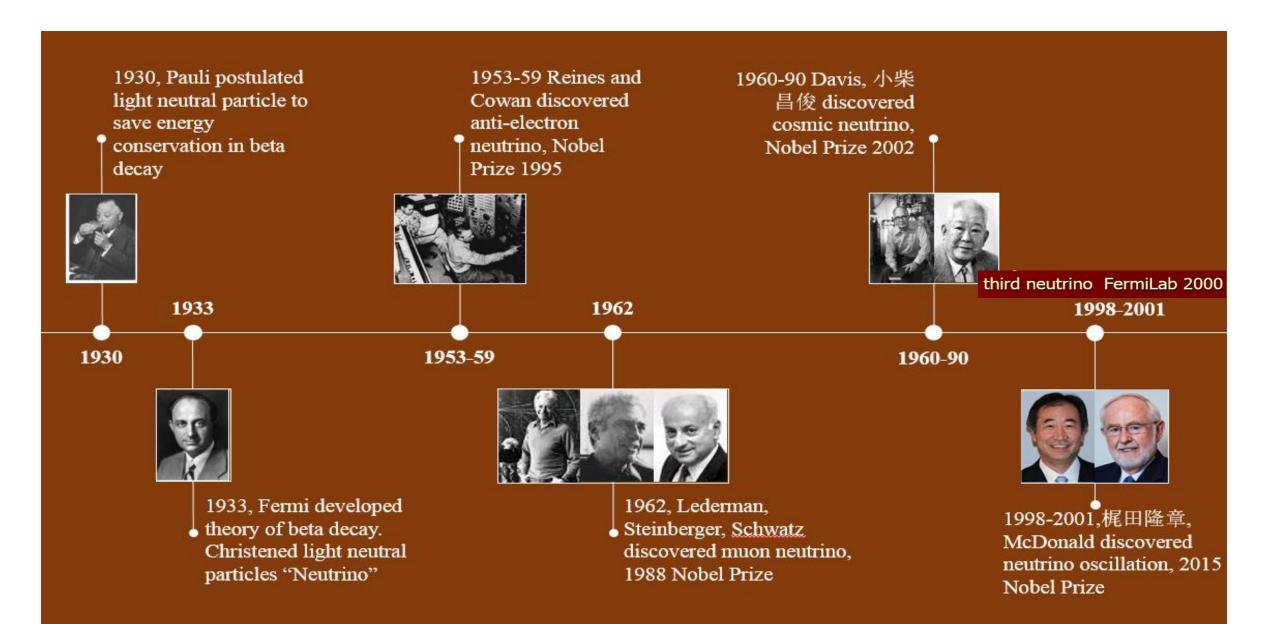
July 21 - 25, 2025

1. Neutrino mass and neutrino oscillations

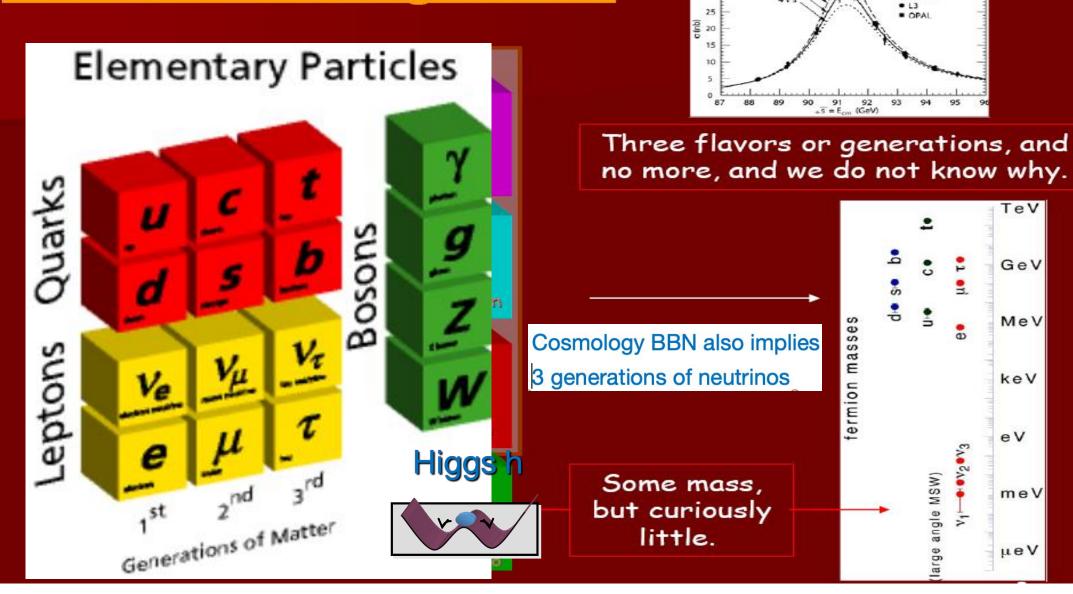
2. Neutrion mass generation mechanism

3. Neutrinos In Cosmology And Astrophysics

# 1. Neutrino Mass and Neutrino Oscillations A brief neutrino history



## Nature's building blocks



▼ DELPHI

TeV

GeV

MeV

keV

eV

meV

μeV

#### 10<sup>20</sup> Where neutrinos come from? 大爆炸中微子 10<sup>20</sup> Cosmological v 1016 Sun 太陽中微子 Nuclear Reactors Solar v (power stations, ships) 1012 Flux (cm<sup>-2</sup>; 10<sup>12</sup> 10<sup>8</sup> 10<sup>4</sup> Supernova burst (1987A) 超新星中微子 Reactor anti-v 反应堆中微子 Supernovae Particle Accelerator Background from old supernova (star collapse) 本底超新星中微子 SN 1987A ♥ 10-4 Terrestrial anti-v 10-8 地球中微子 Astrophysical Atmospheric v Earth's Atmosphere $10^{-12}$ Accelerators 大气中微子 (Cosmic Rays) $10^{-16}$ v from AGN 活性星際 超高能宇宙 $10^{-20}$ 線中微子 核物質中微子 Earth's Crust Big Bang 10-24 GZK v (here 330 v/cm<sup>3</sup>) (Natural $10^{-28}$ **Indirect Evidence** Radioactivity 10-6 1012 1015 1018 $10^{-3}$ $10^{3}$ 106 109

Although weakly interacting, can be seen almost everywhere!

eV

keV

MeV

GeV

TeV

PeV

**Neutrino energy** 

EeV

meV

ueV

#### **Neutrino Mixing**

B. Pontecorvo (1957). "Mesonium and anti-mesonium". *Zh. Eksp. Teor. Fiz.* 33: 549–551.

Oscillation between neutrino and anti-neutrino.

Z. Maki, M. Nakagawa, and S. Sakata (1962).

"Remarks on the Unified Model of Elementary Particles". Progress of Theoretical Physics 28 (5): 870.

B. Pontecorvo (1967).

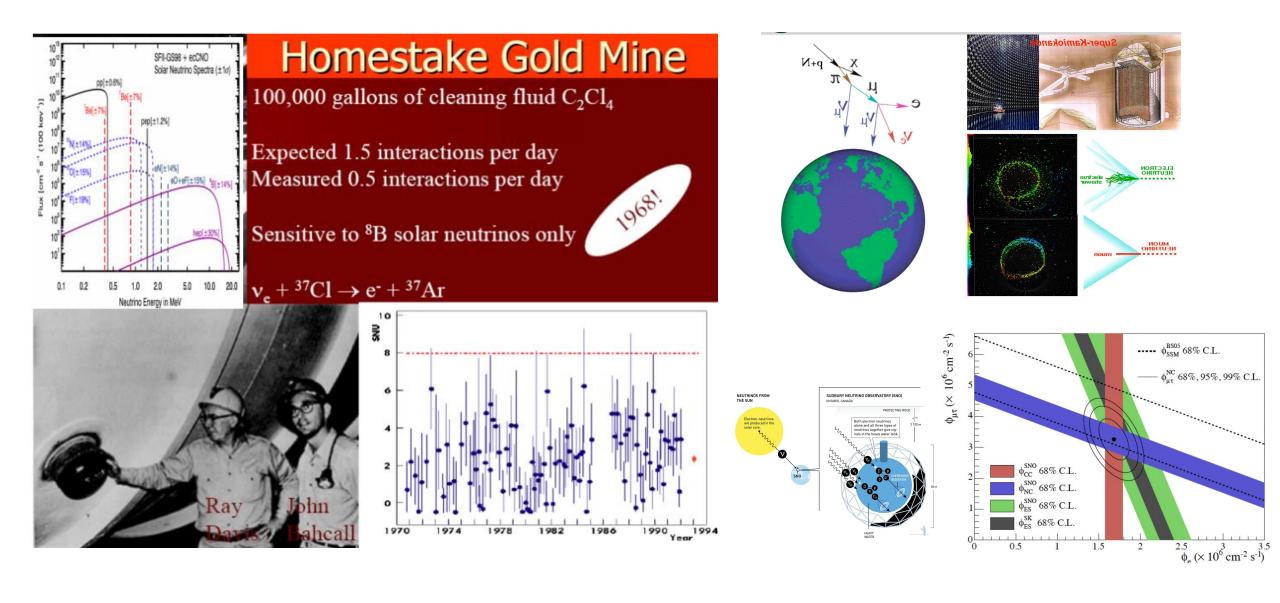
"Neutrino Experiments and the Problem of Conservation of Leptonic Charge". *Zh. Eksp. Teor. Fiz.* 53: 1717.

Oscillations between different flavors.





### **Experimental Discovery Of Nuetrino Mixing**



#### Mixing and Non-zero Neutrino Masses

$$\begin{pmatrix} \nu_1(0) \\ \nu_2(0) \end{pmatrix} = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_{m1} \\ \nu_{m2} \end{pmatrix}$$

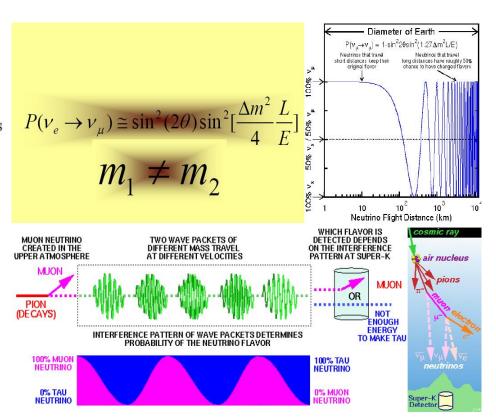
At t=0, neutrino  $\nu_1(0)$  produced, at t, the state becomes  $|\nu_1(0)>=\cos\theta e^{-i(Et-p_1L)}|\nu_{m1}>-\sin\theta e^{-i(Et-p_2L)}|\nu_{m2}>.$ 

Using  $t \approx L$  and  $E - P_i = E - \sqrt{E^2 - m_i^2} \approx m_1^2/2E$ , the probability amplitude of find  $\nu_2(0)$  at time t is given by

$$<\nu_2(0)|\nu_1(t)> = \cos\theta\sin\theta(e^{-im_1^2L/2E} - e^{-im_2^2L/2E})$$

which leads to the probability of finding  $\nu_2(0)$  is

$$P(\nu_1 \to \nu_2) = |\langle \nu_1(0) | \nu_2(t) \rangle|^2 = \sin^2(2\theta) \sin^2(\Delta m_{21}^2 L/4E),$$
  
 $\Delta m_{21}^2 = m_2^2 - m_1^2.$ 



#### Need mixing and non-zero mass to have oscillation!

Active neutrinos mix with each other and have non-zero masses!

Must go beyond SM to have neutrino masses!

### 2. Neutrion mass generation mechanisms

Neutrino mass, what mass?

Gravitational mass or inertia mass? Equavilence principle, they are the same.

E = mc<sup>2</sup> hadrons due to color confiments, not all masses of hadrons are due to quark masses. For neutrinos, no confinement, all masses are due to masses in the primary SM Lagrangian.

Masses due to Higgs mechanism, may be "bare" masses?

Neutrions can have "bare" masses which is not due to the usual mechanism like those other SM particles, quarks, W and Z from the VEV of a Higgs doublet.

Neutrions are special due to neutral electric charge, it can have masses not due to the usual Higgs mechnism, due to Higgs doublet non-zero VEV. Can have Dirac mass or Majorana mass!

#### In the minimal SM neutrion mass is zero

In the minimal SM: Gauge group:  $SU(3)_C \times SU(2)_L \times U(1)_Y$ 

$$G(8,1) (0), W(1,3) (0), B (1,1)(0) ,$$

$$Q_L = \begin{pmatrix} U_L \\ D_L \end{pmatrix} (3,2)(1/6) , U_R (3,1)(2/3) , D_R (3,1)(-1/3) ,$$

$$L_l = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix} (1,2)(-1/2) , E_R (1,1)(-1) ,$$

$$H = \begin{pmatrix} h^+ \\ (v+h^0)/\sqrt{2} \end{pmatrix} (1,2,1/2) , \text{ v - vev of Higgs } .$$

Quark and charged lepton masses are from the following Yukawa coupolings

$$\bar{Q}_L \tilde{H} U_R$$
,  $\bar{Q}_L H D_R$ ,  $\bar{L}_L H E_R$ .

Nothing to pair up with  $L_L(\nu_L)$ . In minimal SM, neutrinos are massless!

Extensions needed: Give neutrino masses and small ones!

#### Invluding higher dimension operator:

HH L Lc /  $\Lambda$  the Weinberg operator => (v2/ $\Lambda$ ) v<sub>L</sub>v<sub>L</sub><sup>c</sup> Majorana mass

This term is not renormalizable!! Can one construct a renormalizable one???

#### How To Generate Neutrino Masses?

Introduce right handed neutrinos  $v_R$ : (1,1)(0) to pair up with L to form Dirac mass. Dirac neutrino mass term

$$L = -\bar{L}_L Y_{\nu} \tilde{H} \nu_R + H.C , \rightarrow -\bar{\nu}_L m_{\nu} \nu_R , \rightarrow m_{\nu} = \frac{v}{\sqrt{2}} Y_{\nu}$$
  
 $m_{\nu_e} < 0.3 \text{ eV}, \rightarrow Y_{\nu_e} / Y_e < 10^{-5}, \text{ very much fine tuned!}$ 

Neutrino is its own anti-particle, particle and anti-particle to pair up for mass term:  $-\bar{\nu}_R^c M_R \nu_R/2$  gauge invariant should be included.



One can also make the above a Higgs-like generation of mass. Introduce a singlet scalae S couple to  $\bar{\nu}_R^c M_R \nu_R/2$  S/vs. When S develops a VEV vs, generate the  $v_R$  mass

This term is not directly related to the usual Higgs mechanis, no need to have Higgs H to give mass. It is a "bare" mass.



Non-zero M<sub>R</sub> is called Majorana mass.

### How to generation small neutrino masses?

Dirac neutrino mass term

$$L = -\bar{L}_L Y_{\nu} \tilde{H} \nu_R + H.C , \rightarrow -\bar{\nu}_L m_{\nu} \nu_R , \rightarrow m_{\nu} = \frac{v}{\sqrt{2}} Y_{\nu}$$
  
 $m_{\nu_e} < 0.3 \text{ eV}, \rightarrow Y_{\nu_e} / Y_e < 10^{-5}, \text{ very much fine tuned!}$ 

Fine tune Yukawa couplings Not natural

The Seesaw Mechanism

$$L = \bar{\nu}_L (Y_{\nu} v / \sqrt{2}) \nu_R + \bar{\nu}_R^c M_R \nu_R / 2$$

The Seesaw mechanism refers to the neutrino mass matrix of the form

$$L_m = -\frac{1}{2} (\nu_L^c, \nu_R) \begin{pmatrix} 0 & m_D \\ m_D^T & M_R \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix} .$$

For one generation, if  $M_R >> m_D$ , the eigenmasses are

$$m_{\nu} \approx -m_D M_R^{-1} m_D^T, \quad m_N \approx M_R$$

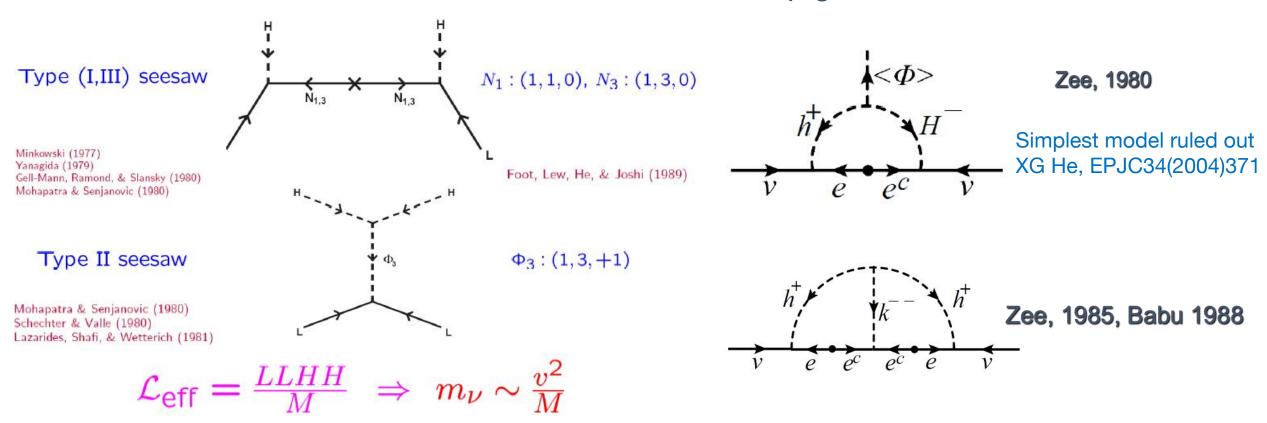


A very nice way to explain why light neutrino masses are so much lighter than their charged lepton partners.

(Minkowski (1977); Gell-Mann, Ramond, and Slansky (1979); Yanagida (1979); Glashow (1980); Mohapatra and Senjanovic (1980))

#### **Majorana Neutrinos and Seesaw Mechanism**

#### Loop generation neutrino masses



Provide understanding why neutrino masses are small but no information about mixing!

#### Three Generations and Their Mixing

Quark mixing the Cabibbo -Kobayashi-Maskawa (CKM) matrix  $V_{\text{CKM}}$ , lepton mixing the Pontecorvo -Maki-Nakawaga-Sakata (PMNS) matrix  $U_{\text{PMNS}}$ 



$$L = -\frac{g}{\sqrt{2}} \overline{U}_L \gamma^{\mu} V_{\text{CKM}} D_L W_{\mu}^+ - \frac{g}{\sqrt{2}} \overline{E}_L \gamma^{\mu} U_{\text{PMNS}} N_L W_{\mu}^- + H.C. ,$$

 $U_L = (u_L, c_L, t_L, ...)^T$ ,  $D_L = (d_L, s_L, b_L, ...)^T$ ,  $E_L = (e_L, \mu_L, \tau_L, ...)^T$ , and  $N_L = (\nu_1, \nu_2, \nu_3, ...)^T$ For n-generations,  $V = V_{CKM}$  or  $U_{PMNS}$  is an  $n \times n$  unitary matrix.

A commonly used form of mixing matrix for three generations of fermions is given by

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix},$$

Ve Vt

where  $s_{ij} = \sin \theta_{ij}$  and  $c_{ij} = \cos \theta_{ij}$  are the mixing angles and  $\delta$  is the CP violating phase.

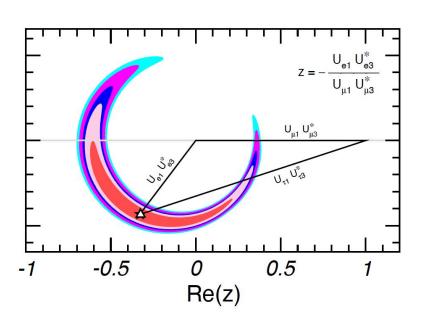
If neutrinos are of Majorana type, for the PMNS matrix one should include an additional diagonal

matrix with two Majorana phases  $\operatorname{diag}(e^{i\alpha_1/2},e^{i\alpha_2/2},1)$  multiplied to the matrix from right in the above.

#### **Neutrion Oscillation With Three Generations**

$$\begin{pmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i\delta_{\text{CP}}} \\ -s_{12} c_{23} - c_{12} s_{13} s_{23} e^{i\delta_{\text{CP}}} & c_{12} c_{23} - s_{12} s_{13} s_{23} e^{i\delta_{\text{CP}}} & c_{13} s_{23} \\ s_{12} s_{23} - c_{12} s_{13} c_{23} e^{i\delta_{\text{CP}}} & -c_{12} s_{23} - s_{12} s_{13} c_{23} e^{i\delta_{\text{CP}}} & c_{13} c_{23} \end{pmatrix}$$

Table 14.7:  $3\nu$  oscillation parameters obtained from different global analyses of neutrino data. In all cases, the numbers labeled as NO (IO) are obtained assuming NO (IO), *i.e.*, relative to the respective local minimum. SK-ATM makes reference to the tabulated  $\chi^2$  map from the Super-Kamiokande analysis of their data in Ref. [184].



PDG

	Ref. [181] w/o SK-ATM		Ref. [181] w SK-ATM		Ref. [182] w SK-ATM		Ref. [183] w SK-ATM	
NO	Best Fit Ordering		Best Fit Ordering		Best Fit Ordering		Best Fit Ordering	
Param	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range
$\frac{\sin^2\theta_{12}}{10^{-1}}$	$3.03^{+0.12}_{-0.11}$	$2.70 \to 3.41$	$3.03^{+0.12}_{-0.12}$	$2.70 \to 3.41$	$3.03^{+0.13}_{-0.13}$	$2.63 \to 3.45$	$3.18^{+0.16}_{-0.16}$	$2.71 \to 3.69$
$\theta_{12}/^{\circ}$	$33.41^{+0.75}_{-0.72}$	$31.31 \rightarrow 35.74$	$33.41^{+0.75}_{-0.72}$	$31.31 \rightarrow 35.74$	$33.40^{+0.80}_{-0.82}$	$30.85 \rightarrow 35.97$	$34.3^{+1.0}_{-1.0}$	$31.4 \rightarrow 37.4$
$\frac{\sin^2\theta_{23}}{10^{-1}}$	$5.72^{+0.18}_{-0.23}$	$4.06 \rightarrow 6.20$	$4.51^{+0.19}_{-0.16}$	$4.08 \rightarrow 6.03$	$4.55^{+0.18}_{-0.15}$	$4.16 \rightarrow 5.99$	$5.74^{+0.14}_{-0.14}$	$4.34 \rightarrow 6.10$
$\theta_{23}/^{\circ}$	$49.1^{+1.0}_{-1.3}$	$39.6 \rightarrow 51.9$	$42.2^{+1.1}_{-0.9}$	$39.7 \rightarrow 51.0$	$42.4^{+1.0}_{-0.9}$	$40.2 \rightarrow 50.7$	$49.3^{+0.8}_{-0.8}$	$41.2 \rightarrow 51.3$
$\frac{\sin^2\theta_{13}}{10^{-2}}$	$2.203^{+0.056}_{-0.059}$	$2.029 \to 2.391$	$2.225^{+0.056}_{-0.059}$	$2.052 \rightarrow 2.398$	$2.23^{+0.07}_{-0.06}$	$2.04 \rightarrow 2.44$	$2.200^{+0.069}_{-0.062}$	$2.00 \rightarrow 2.405$
$\theta_{13}/^{\circ}$	$8.54^{+0.11}_{-0.12}$	$8.19 \rightarrow 8.89$	$8.58^{+0.11}_{-0.11}$	$8.23 \rightarrow 8.91$	$8.59^{+0.13}_{-0.12}$	$8.21 \rightarrow 8.99$	$8.53^{+0.13}_{-0.12}$	$8.13 \rightarrow 8.92$
$\delta_{\mathrm{CP}}/^{\circ}$	$197^{+42}_{-25}$	$108 \rightarrow 404$	$232^{+36}_{-26}$	$144 \rightarrow 350$	$223^{+32}_{-23}$	$139 \rightarrow 355$	$194^{+24}_{-22}$	$128 \rightarrow 359$
$\frac{\Delta m_{21}^2}{10^{-5}  \text{eV}^2}$	$7.41^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.03$	$7.41^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.03$	$7.36^{+0.16}_{-0.15}$	$6.93 \rightarrow 7.93$	$7.50_{-0.20}^{+0.22}$	$6.94 \rightarrow 8.14$
$rac{\Delta m^2_{32}}{10^{-3} \;  ext{eV}^2}$	$2.437^{+0.028}_{-0.027}$	$2.354 \to 2.523$	$2.433^{+0.026}_{-0.027}$	$2.353 \to 2.516$	$2.448^{+0.023}_{-0.031}$	$2.367 \to 2.521$	$2.47^{+0.02}_{-0.03}$	$2.40 \rightarrow 2.46$
IO	$\Delta \chi$	$x^2 = 2.3$	$\Delta \chi$	$a^2 = 6.4$	$\Delta \chi^2 = 6.5$		$\Delta \chi^2 = 6.4$	
$\frac{\sin^2\theta_{12}}{10^{-1}}$	$3.03^{+0.12}_{-0.11}$	$2.70 \rightarrow 3.41$	$3.03^{+0.12}_{-0.11}$	$2.70 \rightarrow 3.41$	$3.03^{+0.13}_{-0.13}$	$2.63 \rightarrow 3.45$	$3.18^{+0.16}_{-0.16}$	$2.71 \rightarrow 3.69$
$\theta_{12}/^{\circ}$	$33.41^{+0.75}_{-0.72}$	$31.31 \rightarrow 35.74$	$33.41^{+0.75}_{-0.72}$	$31.31 \rightarrow 35.74$	$33.40^{+0.80}_{-0.82}$	$30.85 \rightarrow 35.97$	$34.3^{+1.0}_{-1.0}$	$31.4 \rightarrow 37.4$
$\frac{\sin^2\theta_{23}}{10^{-1}}$	$5.78^{+0.16}_{-0.21}$	$4.12 \rightarrow 6.23$	$5.69^{+0.16}_{-0.21}$	$4.12 \rightarrow 6.13$	$5.69^{+0.13}_{-0.21}$	$4.17 \rightarrow 6.06$	$5.78^{-0.10}_{+0.17}$	$4.33 \rightarrow 6.08$
$\theta_{23}/^{\circ}$	$49.5^{+0.9}_{-1.2}$	$39.9 \rightarrow 52.1$	$49.0^{+1.0}_{-1.2}$	$39.9 \rightarrow 51.5$	$49.0^{+0.7}_{-1.4}$	$40.2 \rightarrow 51.1$	$49.5^{+0.6}_{-1.0}$	$41.2 \rightarrow 51.2$
$\frac{\sin^2\theta_{13}}{10^{-2}}$	$2.219^{+0.060}_{-0.057}$	$2.047 \rightarrow 2.396$	$2.223^{+0.058}_{-0.058}$	$2.048 \rightarrow 2.416$	$2.23^{+0.06}_{-0.06}$	$2.03 \rightarrow 2.45$	$2.225^{+0.064}_{-0.070}$	$2.02 \rightarrow 2.42$
$\theta_{13}/^{\circ}$	$8.57^{+0.12}_{-0.11}$	$8.23 \rightarrow 8.90$	$8.57^{+0.11}_{-0.11}$	$8.23 \rightarrow 8.94$	$8.59^{+0.13}_{-0.12}$	$8.19 \rightarrow 9.00$	$8.58^{+0.12}_{-0.14}$	$8.17 \rightarrow 8.96$
$\delta_{\mathrm{CP}}/^{\circ}$	$286_{-32}^{+27}$	$192 \rightarrow 360$	$276^{+22}_{-29}$	$194 \rightarrow 344$	$274^{+25}_{-27}$	$193 \rightarrow 342$	$284_{-28}^{+26}$	$200 \rightarrow 353$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.41^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.03$	$7.41^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.03$	$7.36^{+0.16}_{-0.15}$	$6.93 \rightarrow 7.93$	$7.50_{-0.20}^{+0.22}$	$6.94 \rightarrow 8.14$
$\frac{\Delta m_{32}^2}{10^{-3} \text{ eV}^2}$	$-2.498^{+0.032}_{-0.025}$	$-2.581 \rightarrow -2.408$	$-2.486^{+0.028}_{-0.025}$	$-2.570 \rightarrow -2.406$	$-2.492^{+0.025}_{-0.030}$	$-2.578 \rightarrow -2.413$	$3-2.52\pm^{+0.03}_{-0.02}$	$-2.60 \to -2.44$

#### Problems related to neutrino masses

Mixing pattern: Very different quark and lepton mixing patterns

Mixing pattern in quark sector

$$V_{CKM} \sim \begin{pmatrix} 1 & 1 & 1 & 1 \end{pmatrix}$$

$$\begin{pmatrix} 0.97428 \pm 0.00015 & 0.2253 \pm 0.0007 & 0.00347^{+0.00016}_{-0.00012} \\ 0.2252 \pm 0.0007 & 0.97345^{+0.00015}_{-0.00016} & 0.0410^{+0.0011}_{-0.0007} \\ 0.00862^{+0.00026}_{-0.00020} & 0.0403^{+0.0011}_{-0.0007} & 0.999152^{+0.000030}_{-0.000045} \end{pmatrix}$$

 $= 13.021^{\circ} \pm 0.039^{\circ}, \quad \theta_{23}^{Q} = 2.350^{\circ} \pm 0.052^{\circ},$ 

#### Mixing pattern in lepton sector

$$|U| = \begin{pmatrix} 0.801 \rightarrow 0.845 & 0.514 \rightarrow 0.580 & 0.137 \rightarrow 0.158 \\ 0.225 \rightarrow 0.517 & 0.441 \rightarrow 0.699 & 0.614 \rightarrow 0.793 \\ 0.246 \rightarrow 0.529 & 0.464 \rightarrow 0.713 & 0.590 \rightarrow 0.776 \end{pmatrix}, \quad {}^{= 0.199^{\circ} \pm 0.008^{\circ}}. \quad {}^{\delta Q} = 68.9^{\circ}$$

More precise data needed to determine mixing pattern

## T2K, NOvA, JUNO, DUNE ... Stay tuned! Theory before and after Daya-Bay/Reno results After: Need to have a nonzero $\theta_{13}$

Before: popular mixing -The Tribimaximal Mixing Harrison, Perkins, Scott (2002), Z-Z. Xing (2002), He& Zee (2003)

The mixing pattern is consistent, within  $2\sigma$ , with the tri-bimaximal mixing

$$V_{tri-bi} = \begin{pmatrix} -\frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0\\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}}\\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$

A4 a promising model (Ma&Raniasekara, 2001) and realizations (Altarelli&Feruglio 2005, Babu&He 2005). Later many realizations: S4, D3, S3,D4, D7,A5,T',S4, Δ(27, 96), PSL<sub>2</sub>(7) ... discrete groups Altarelli&Feruglio for review. (H. Lam; Mohapatra et al), T. Mahanthanpa&M-C. Chen: Frampton&Kephart: Y-L Wu. ....

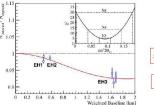
Modification to tri-bimaximal mixing pattern need to b made. (Keum&He&Volkas; He&Zee, 2006).

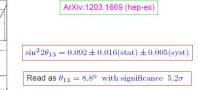
In fact, more generically, A<sub>4</sub> symmetry leads to

$$V \; = \left( \begin{array}{ccc} \frac{2c}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{2se^{i\delta}}{\sqrt{6}} \\ -\frac{c}{\sqrt{6}} - \frac{se^{-i\delta}}{\sqrt{2}} & \frac{1}{\sqrt{3}} & \frac{c}{\sqrt{2}} - \frac{se^{i\delta}}{\sqrt{6}} \\ -\frac{c}{\sqrt{6}} - \frac{se^{-i\delta}}{\sqrt{2}} & \frac{1}{\sqrt{3}} & -\frac{c}{\sqrt{2}} - \frac{se^{i\delta}}{\sqrt{6}} \end{array} \right) \; .$$



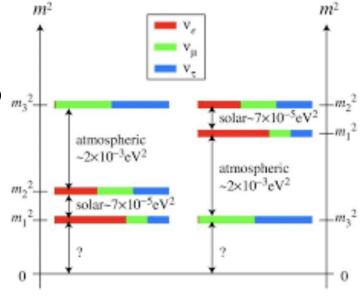






#### Neutrion mass hierarchy

#### Normal or Inverted



Mixing pattern very differnt than their quark conter parts. Mass hierarchy not yet determined! Mass hierarchy soon be answered by JUNO? Stay tuned! CP V, DUNE, HyperK ... within 10 years?

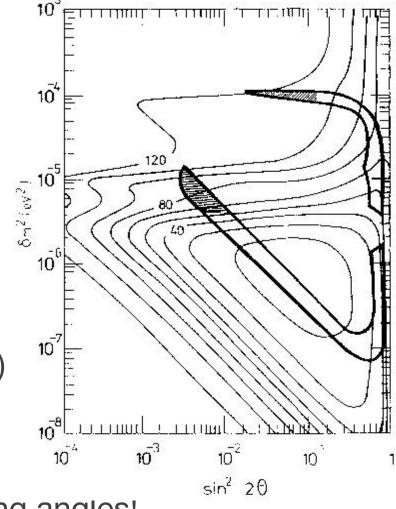
#### **Neutrino Mixing Pattern**

Early days, expecting neutrino mixing might be following a similar pattern as

quarks, mixing angles are small.

For example, 1992 people are trying to produce mixing on the right

(Davies and He, PRD46, 3208)



But both solar and atmospheric show large mixing angles!

### Model building for $\theta_{23} = \pi/4$ and $\delta = +(-)\pi/2$

X-G He, Chin. J. Phys. 53(2015) 100101 E Ma, PRD92(2015)051301; G-N Li, X-G He, PLB750(2015)620

In the charged lepton mass eigenstate basis,

$$\delta = -\pi/2 \text{ and } \theta_{23} = \pi/4,$$

For  $\delta = +\pi/2$ . C <-> C\* and D< -> D\*

$$m_{\nu} = V_{PMNS} \hat{m}_{\nu} V_{PMNS}^{T}$$

$$m_{
u} \; = \; \left( egin{array}{ccc} A & C & C^* \ C & D^* & B \ C^* & B & D \end{array} 
ight)$$

under A4

 $\mu - \tau$  conjugate symmetry W. Grimus and L. Lavoura, PLB579(2004)113;

Z.-z Xing and Y. L. Zhou, PLB693(2010)584.

A A4 model to achieve this:

$$\nu_R = (\nu_R^1, \ \nu_R^2, \ \nu_R^3) \ l_L = (l_L^1, \ l_L^2, \ l_L^3), \ (l_R^1, l_R^2, l_R^3), \ 3, \ (1, 1'', 1') \ \text{and} \ 3$$

$$\Phi = (\Phi_1, \Phi_2, \Phi_3)$$
 (SM doublet),  $\phi$  (SM doublet)  $\chi = (\chi_1, \chi_2, \chi_3)$  (SM singlet)

 $\Phi$  and  $\chi$  both transform as 3, and  $\phi$  as 1

$$<\Phi_{1,2,3}>=v_{\Phi},<\chi_{1,3}>=0,<\chi_{2}>=v_{\chi}, \text{ and } <\phi>=v_{\phi},$$

#### Absolute Neutrino masses and Neutrinos Are Dirac Or Majorana Particle?

#### Absolute mass measurement

#### Tritium decay spectrum

Katrin, Mainz, Troitsk. 2 10

$$^{3}\mathrm{H} \rightarrow ^{3}\mathrm{He} + e^{-} + \bar{\nu}_{e}$$

$$m_{\nu_e}^{\rm eff} < 0.8 \; {\rm eV}$$

from

$$\frac{dN}{dE} = C p E (Q - T) \sqrt{(Q - T)^2 - (m_{\nu_e}^{\text{eff}})^2} F(E) \equiv R(E) \sqrt{(E_0 - E)^2 - (m_{\nu_e}^{\text{eff}})^2}$$

$$m_{\nu_{\mu}}^{\text{eff}} < 190 \text{ keV } (90\% \text{ CL})$$

$$\pi^- \to \mu^- + \bar{\nu}_{\mu}$$

$$m_{\nu_\tau}^{\rm eff} < 18.2~{\rm MeV}$$
 (95% CL)

from 
$$\tau^- \to n\pi + \nu_{\tau}$$

#### beta decay (left panel) and in $0\nu\beta\beta$ (right panel) in the framework of $3\nu$ mixing as a function of the lightest neutrino mass. The ranges are obtained by projecting the results of the global analysis of oscillation data (w/o SK-atm) in Ref. [184]. The region for each ordering is defined with respect to its local minimum. KamLAND-Zen, LEGEND, CUORE, GERDA...

Figure 14.11: Allowed 95% CL ranges (1 dof) for the neutrino mass observable determined in <sup>3</sup>H

#### Neutrinoless double beta decay

Test Majorana mass nature

$$(A,Z) \to (A,Z+2) + e^- + e^ (T_{1/2}^{0\nu})^{-1} = G^{0\nu} \left| M^{0\nu} \right|^2 \left( \frac{m_{ee}}{m_e} \right)^2$$

0.001

0.01

KamLAND-Zen (Xenon)  $T_{1/2}^{0\nu} > 2.3 \times 10^{26} \text{ yr},$ 

$$T_{1/2}^{0\nu} > 2.3 \times 10^{26} \text{ yr,}$$

$$T_{1/2}^{0\nu} > 1.8 \times 10^{26} \text{ yr, at } 90\% \text{ CL}$$

 $m_{ee} < 36 - 156 \text{ meV}$ 

$$m_{ee} < 79 - 180 \text{ meV}$$

and more to come, PandaX NT, Xeno NT...

0.001

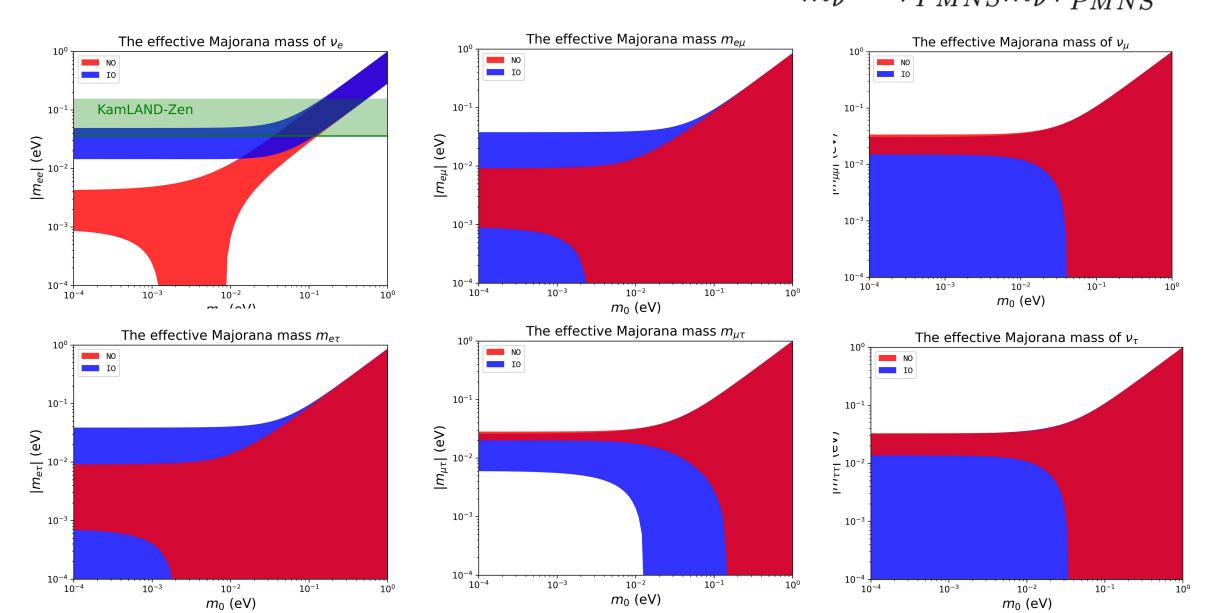
0.01

m<sub>light</sub> (eV)

Other propertise of neutrions: dipole moment... Are there light right handed neutrions

## Constraints on Majhorana neutrino mass matrix $m_v = (m_{ij}) = (V_{il}m_lV_{lj})$

$$\mathbf{m}_{\mathsf{v}} = (\mathbf{m}_{\mathsf{i}\mathsf{j}}) = (\mathsf{V}_{\mathsf{i}\mathsf{l}} \mathsf{m}_{\mathsf{l}} \mathsf{V}_{\mathsf{l}\mathsf{j}})$$
 $m_{\mathsf{v}} = V_{PMNS} \hat{m}_{\mathsf{v}} V_{PMNS}^T$ 



#### Grand Unification Theory SO(10) Predictions

#### Minimal SO(10) Model without 120

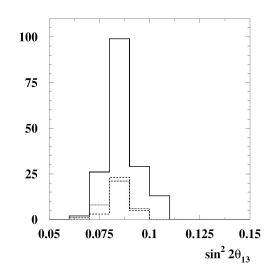
$$16_F(Y_{10}10_H + Y_{\overline{126}}\overline{126}_H + Y_{120}120_H)16_F$$

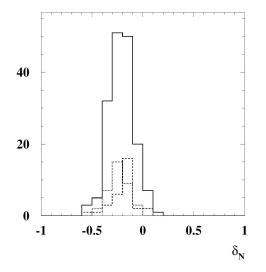
$$\mathcal{L}_{Yukawa} = Y_{10} \, \mathbf{16} \, \mathbf{16} \, \mathbf{10}_H + Y_{126} \, \mathbf{16} \, \mathbf{16} \, \mathbf{\overline{126}}_H$$
 Good prediction for  $\theta_{13} \delta$  Away from  $-\pi/2!$ 

$$M_{u} = \kappa_{u} Y_{10} + \kappa'_{u} Y_{126}$$
  
 $M_{d} = \kappa_{d} Y_{10} + \kappa'_{d} Y_{126}$   $M_{\nu R} = \langle \Delta_{R} \rangle Y_{126}$   
 $M_{\nu}^{D} = \kappa_{u} Y_{10} - 3\kappa'_{u} Y_{126}$   $M_{\nu L} = \langle \Delta_{L} \rangle Y_{126}$   
 $M_{l} = \kappa_{d} Y_{10} - 3\kappa'_{d} Y_{126}$ 

Model has only 11 real parameters plus 7 phases

Loop generated Dirac mass models also work! Eax:Babu, He, Su &Thapa, JHEP 08 (2022) 140





More data will help to finally determine mixing pattern, whether there is CPV!

### 3. Neutrinos In Cosmology And Astrophysics

In early universe, all energy forms existed in form of elementary particles, or .... Temperature is high and were in thermal equilibrium

Criteria for thermal equilibrium: particle interaction length  $1/\Gamma$  ( $\Gamma$  interaction rate) is smaller than Hubble length 1/H<sub>0</sub>

???Planck mass T ~ 10<sup>19</sup>GeV

Inflation

Big Bang ~ T> 10<sup>16</sup> GeV

(Not in thermal equillibrium by SM for particle physics)
Grand Unification ~ 10 GeV

EW symmetry breaking 300GeV

Color confinement ~300 MeV

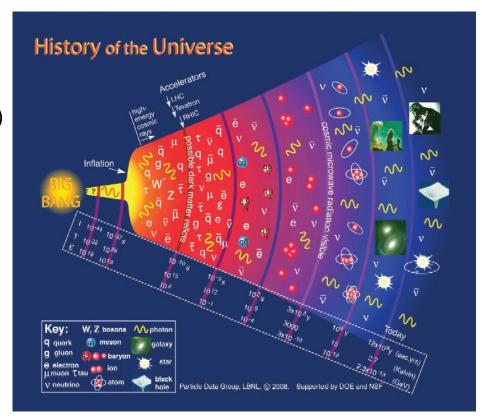
BBN ~ 1 MeV

CvB ~ 1 MeV

CMB ~0.3 eV

Large structure formation

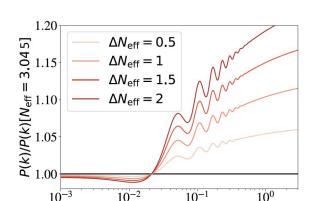
Today ~2.7K



#### Relic neutrino density:

$$\frac{\rho_{\nu}}{\rho_{\gamma}} = \frac{7}{8} N_{\text{eff}} \left(\frac{4}{11}\right)^{4/3}$$

#### Big-Bang Nucleothynsis:



 $k [h^{-1}Mpc]$ 

N<sub>eff</sub> ~ 3 light neutrinos, consistent with Z decay witdth.

**Table 26.1:** Summary of  $N_{\text{eff}}$  constraints.

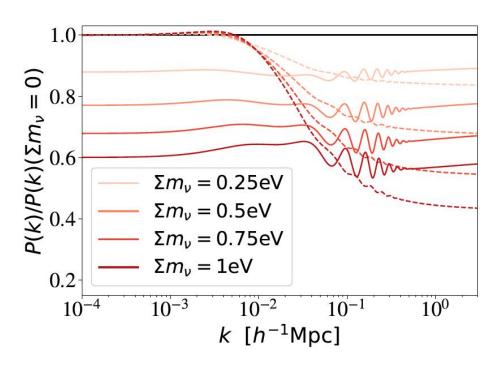
	Model	95%CL	Ref.
CMB alone			
Pl18[TT,TE,EE+lowE]	$\Lambda { m CDM} + N_{ m eff}$	$2.92^{+0.36}_{-0.37}$	[22]
$\overline{\mathrm{CMB}} + \mathrm{background}$ evolution $+$ LSS			
Pl18[TT,TE,EE+lowE+lensing] + BAO	$\Lambda { m CDM} + N_{ m eff}$	$2.99^{+0.34}_{-0.33}$	[22]
" $+ BAO + R21$	$\Lambda { m CDM} + N_{ m eff}$	$3.34 \pm 0.14 \ (68\%CL)$	[11]
"	" $+5$ -params.	$2.85 \pm 0.23 \; (68\%CL)$	[23]

Neutrino back ground temperature:  $T_v/T_\chi = (4/11)^{1/3} = 1.95 \text{ K}$  $n_\chi = 440/\text{cm}^{3}, \ n_v = 339/\text{cm}^{3}$ 

Energy density from neutrinos:

$$\Omega_{\nu} = \frac{\rho_{\nu}^{0}}{\rho_{\text{crit}}^{0}} = \frac{\sum m_{\nu}}{93.14h^{2} \,\text{eV}}$$

#### Neutrino Mass On CMB And Matter Spectrum



**Table 26.2:** Summary of  $\sum m_{\nu}$  constraints.

1						
	Model	95% CL (eV)				
CMB alone						
Pl18[TT+lowE]	$\Lambda \text{CDM} + \sum m_{\nu}$	< 0.54				
Pl18[TT,TE,EE+lowE]	$\Lambda \text{CDM} + \sum m_{\nu}$	< 0.26				
CMB + probes of background evolution						
Pl18[TT+lowE] + BAO	$\Lambda \text{CDM} + \sum m_{\nu}$	< 0.13				
Pl18[TT,TE,EE+lowE]+BAO	$\Lambda \text{CDM} + \sum m_{\nu} + 5 \text{ params.}$	< 0.515				
$\overline{\mathrm{CMB} + \mathrm{LSS}}$						
Pl18[TT+lowE+lensing]	$\Lambda \text{CDM} + \sum m_{\nu}$	< 0.44				
Pl18[TT,TE,EE+lowE+lensing]	$\Lambda { m CDM} + \sum m_{ u}$	< 0.24				
CMB + probes of background evolution + LSS						
Pl18[TT,TE,EE+lowE] + BAO + RSD	$\Lambda \text{CDM} + \sum m_{\nu}$	< 0.10				
Pl18[TT+lowE+lensing] + BAO + Lyman- $\alpha$	$\Lambda { m CDM} + \sum m_{\nu}$	< 0.087				
Pl18[TT,TE,EE+lowE] + BAO + RSD + Pantheon	+ DES $\Lambda \text{CDM} + \sum m_{\nu}$	< 0.13				

The sum of the neutrino masses should be less than 0.6 eV.

Future, reach 0.06 eV reaching the allowed range by neutrino oscillation! DESI, Euclid, LSST, SPHEREx, SKA.

Neutrinos play important role in BBN! Cosmology constraint their masses compareable to neutrino oscillation data!

#### **Neutrino And Our Matter Universe**

In our Universe, matter dominates over anti-matter

- Why this is so is the problem of Baryon Asymmetry of our Universe (BAU)

In cosmological terms, the problem is as follows

If initially, the universe is matter and anti-matter symmetric

$$n_B/n_{\gamma} = n_{\bar{B}})/n_{\gamma} \sim 10^{-20}$$

 $n_B(n_{\bar{B}})$  - baryon (anti-baryon) number density,  $n_{\gamma}$  - photon number density



Andrei Sakharov on Soviet Nobel Peace Prize winners, the USSR stamp issued on 14 May 1991

However observation, BBN and CMB, show that

 $\eta = (n_B - n_{\bar{B}})/n_{\gamma} \sim 6 \times 10^{-10}$ 

There is a  $10^{10}$  order of magnitude difference.

Initially, there is a baryon asymmetry?

But inflation will dilute any asymmetry to zero.

Possible to generate a  $\eta$  which fits observtion

from an, initially, matter anti-matter symmetry universe?



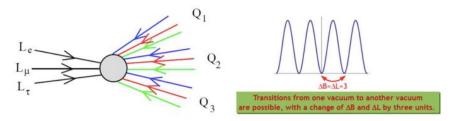
Baryon Number B Violation

C and CP Violation

Interactions Out Of Thermal Equilibrium

#### Standard Model Has All Ingradients, But Too Small

Baryon number violation: Sphelaron effects-tunneling effects from different vacuum states with non-zero baryon number differences. Violated B+L, but conserves B-L.



C and CP violation: Electroweak interaction violates C, and phase in Kobayashi-Maskawa mixing matrix violates CP.

Out of thermal equilibrium: Electroweak symmetry breaking

But, CP violation rate too small, out of thermal equilibrium too weak. Not enough to generate a large enough Baryon Asymmetry.

If Higgs mass is less than 70 GeV, second order phase transition at electroweak symmetry breaking, too weak.

 $\eta \sim 10^{-20}$  Too small. Needs to go beyond SM!

Electroweak baryogenesis, Leptogenesis, Gut baryogenesis....

#### Leptogenesis

Fukugita and Yanagida, PLB174, 45(1986)

Translate lepton number asymmetry generated in the early universe to baryon number asymmetry!

Requires lepton asymmetry generated before Sphelaron effects to be in effective ( T ~  $10^{12}$  – a few TeV). Initial  $a_L(i)=a$ ,  $a_B(i)=0$ .

Sphelaron effect: Conserve B-L, but violates B+L

After: 
$$a_L(f) + a_B(f) = 0$$
,  $a_L(i) - a_B(i) = a_L(f) - a_B(f)$ 

$$a_{l}(f) = a/2; a_{B}(f) = -a/2$$

half of initial lepton asymmetry will be translated into baryon asymmetry if complete.

SM Sphelaron effect:  $a_B = -(28/79)a_L$ 

#### Seesaw Model Plays The Right Role

$$L_{M}=-ar{L}_{L}Y_{e} ilde{H}E_{R}-ar{L}_{L}Y_{
u}H
u_{R}-rac{1}{2}(ar{
u}_{L},ar{
u}_{R}^{'c})M^{
u}\left(egin{array}{c}
u_{L}^{c}\\

u_{R}^{'}
\end{array}
ight)+H.C.$$

The last term violates lepton number L by two units!

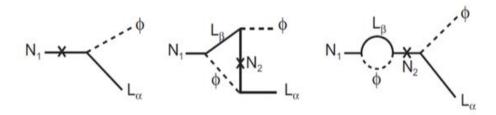
Out of thermal equilibrium decay, new CP violation in N -> L h( $\phi$ )

N decays into L and anti-L differently

$$a_i \approx -\frac{1}{8\pi} \frac{1}{[\hat{Y}_\nu \hat{Y}_\nu^\dagger]_{ii}} \sum_j Im\{[\hat{Y}_\nu \hat{Y}_\nu^\dagger]_{ij}^2\} f\left(\frac{M_j^2}{M_i^2}\right)$$

$$f(x) = \sqrt{x}(\frac{2}{x-1} + \ln \frac{1+x}{x}).$$

$$a_i = rac{\Gamma(N_i o L\phi) - ar{\Gamma}(N_i o ar{L}\phi)}{\Gamma(N_i o L\phi) + ar{\Gamma}(N_i o ar{L}\phi)}$$



 $M_N$  and  $m_V$  masses are correlated to obtain the right number for  $\eta$ ,  $m_V$  of order 0.05 eV,  $M_N \sim 1000$  GeV.

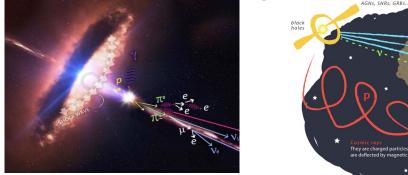
#### Cosmic Neutrino Ray As Messenger For Our Universe

大爆炸中微子 Cosmological v v from AGN 超高能宇宙 線中微子

Where the very high energy neutrino ray come from? How they

are acceleratted to have such high energie

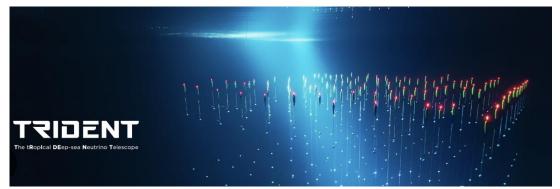
Any use of the neutrino cosmic ray?

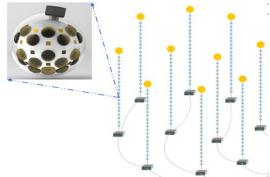


Like we use neutrino beam on earth to probe new interactions, human being can use cosmic neutrino beams as messengers to probe our universe with much less disturbances compared with hadrons, photons!

Extremely low energy: CvB and super high energy neutrino rays.







Messenger for our universe! Icecube, KM3net and future: Trident and Hunt projects are initiatives for this!

## Thank you for your attentions!