

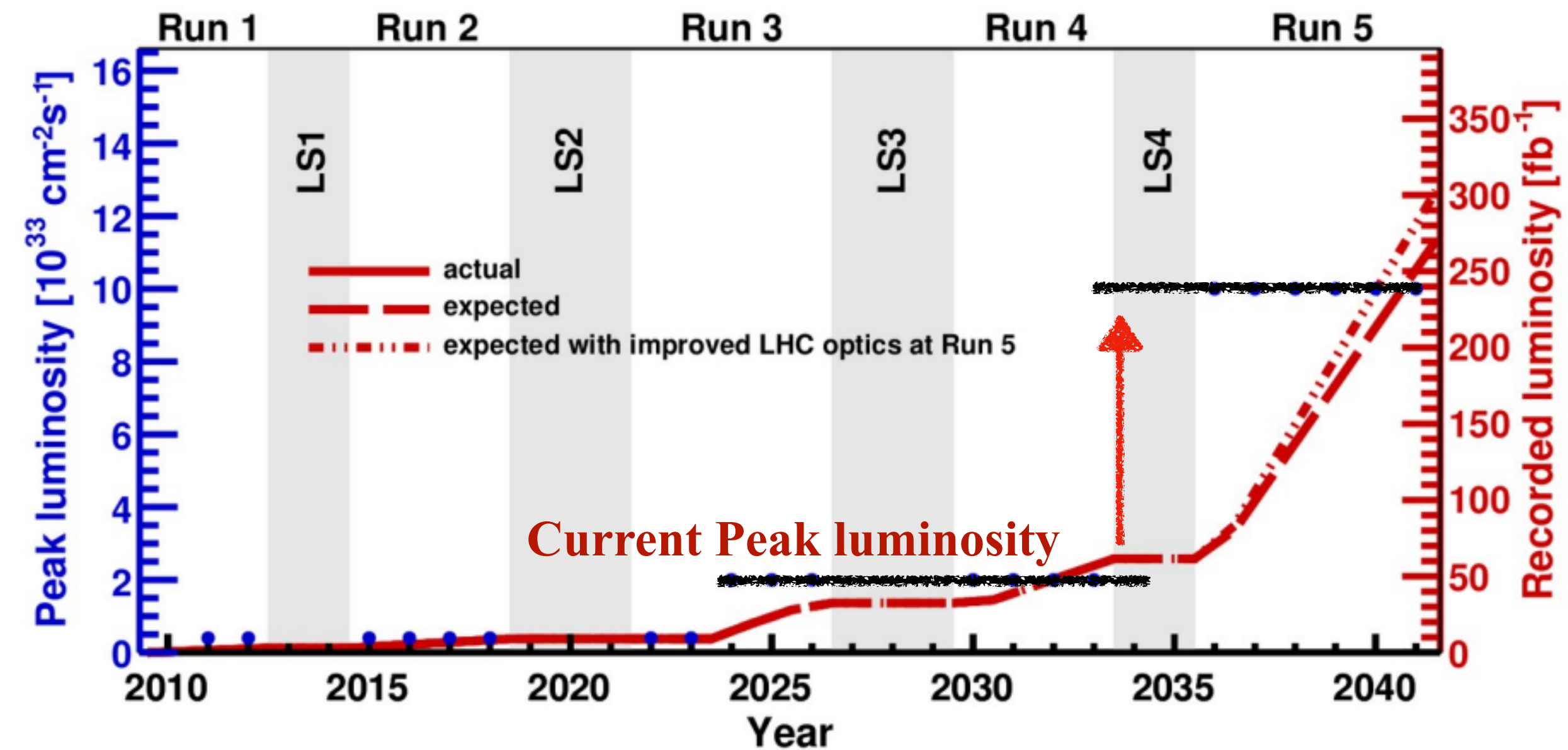
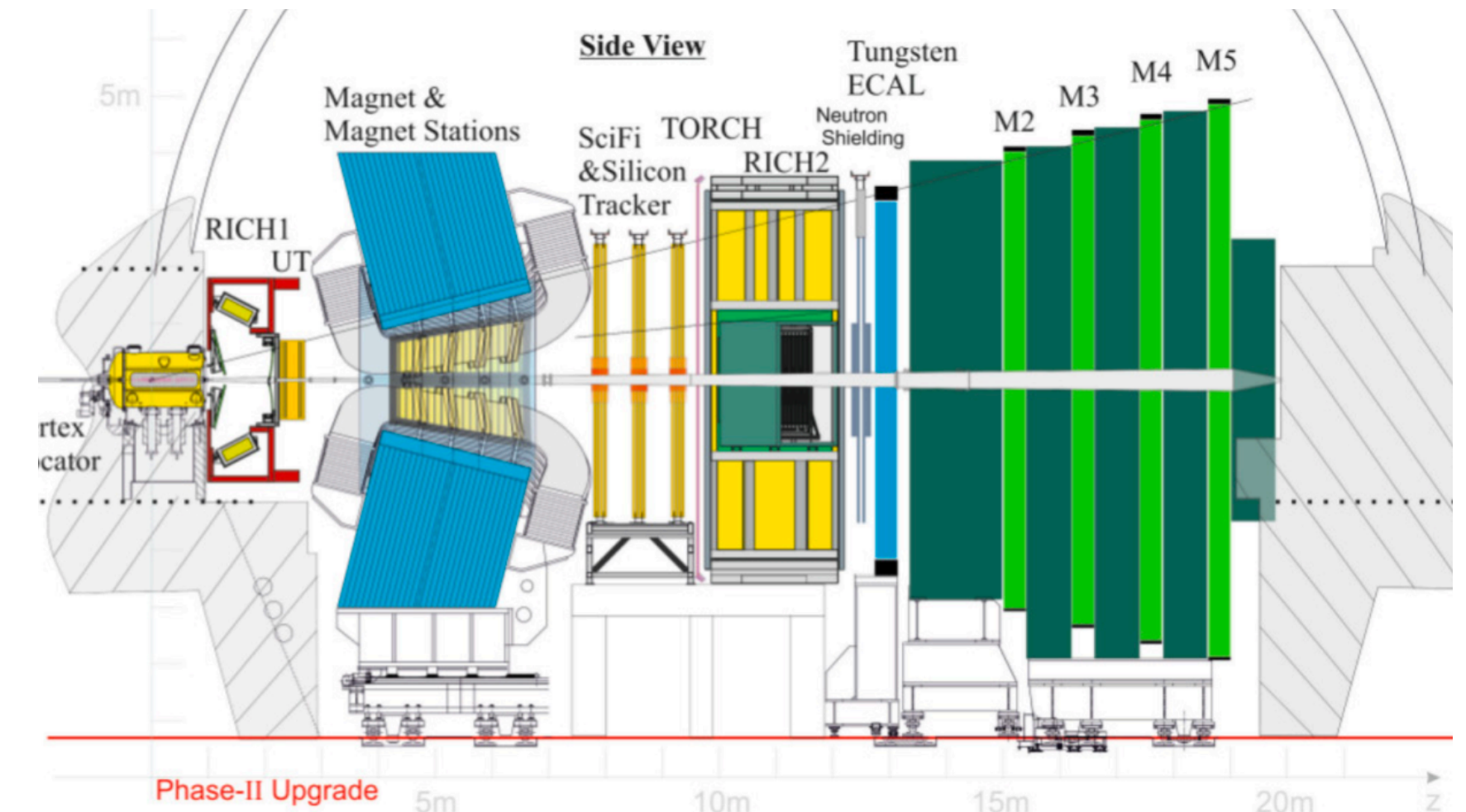
Tracking System Upgrades for LHCb Phase-II

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第二届粒子物理实验径迹重建研讨会

- Single arm forward spectrometer ($2 < \eta < 5$)
 - Designed to study CP violation and rare decays in hadrons containing b- and c-quarks
- Evolution of LHCb
 - Pre-Upgrade (Run1, Run2)
 - $\mathcal{L}_{peak} = 4 \times 10^{32} cm^{-2}s^{-1}$, $\mathcal{L}_{Int} = 9 fb^{-1}$
 - Upgrade I (Run3 & Run4)
 - $\mathcal{L}_{peak} = 2 \times 10^{33} cm^{-2}s^{-1}$, $\mathcal{L}_{Int} = 50 fb^{-1}$
 - Upgrade II (Run5)
 - Peak luminosity increased by a factor of 5
 - Baseline: $\mathcal{L}_{peak} = 1.0 \times 10^{34} cm^{-2}s^{-1}$, $\mathcal{L}_{Int} = 270 fb^{-1}$
 - Other scenario: $\mathcal{L}_{peak} = 1.3 \times 10^{34} cm^{-2}s^{-1}$
 - Sub-detector upgrade



Status of LHCb UII tracking system

- **Standalone tracks**

- 4D reconstruction for TV track
- New algorithm for UP track and MP track
- Run3 algorithm reused for Scifi track

- **Long tracks**

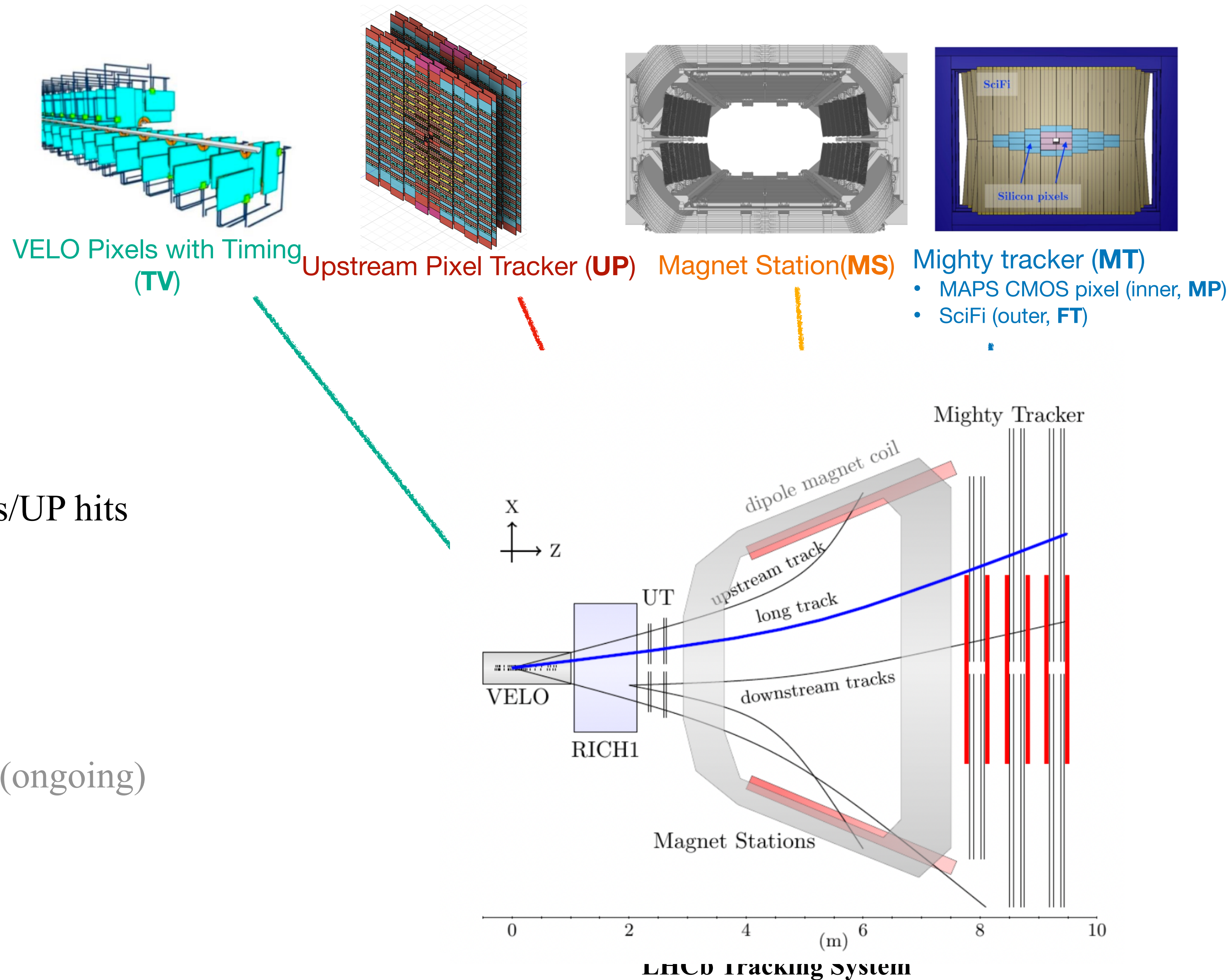
- Matching: TV tracks + MT tracks + UP tracks/UP hits
- Forward: TV Tracks $\rightarrow$ UP $\rightarrow$ MT (ongoing)

- **Upstream tracks**

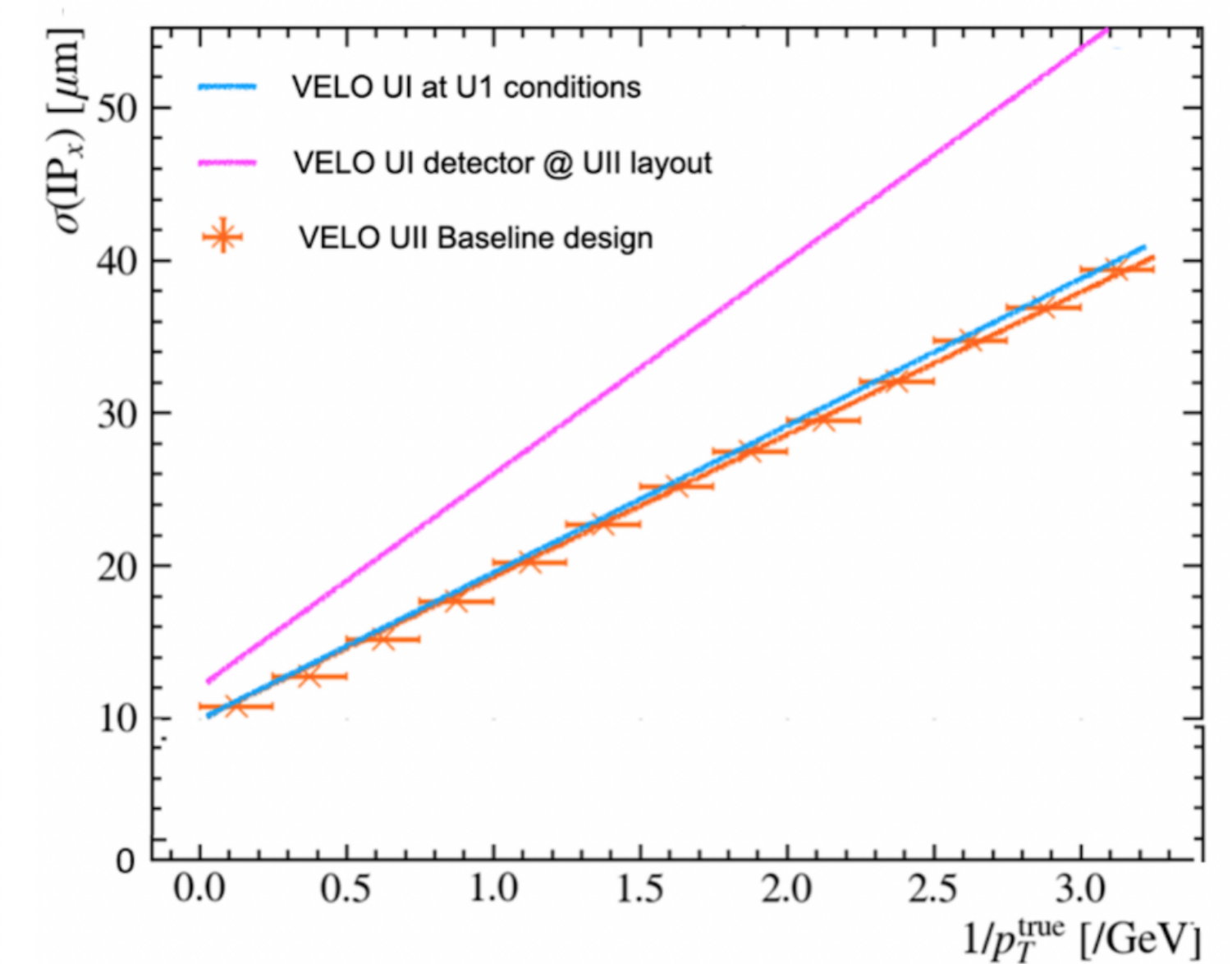
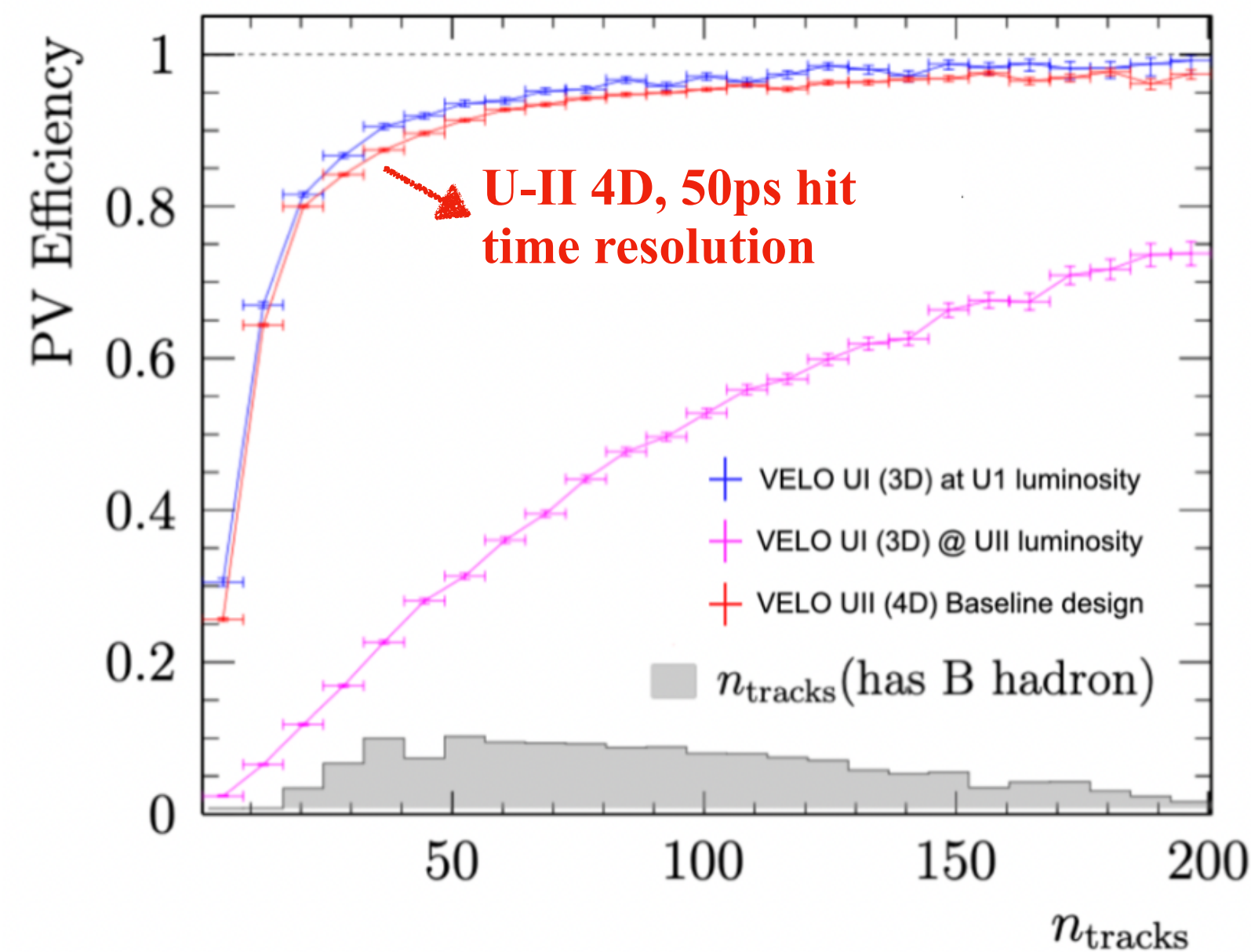
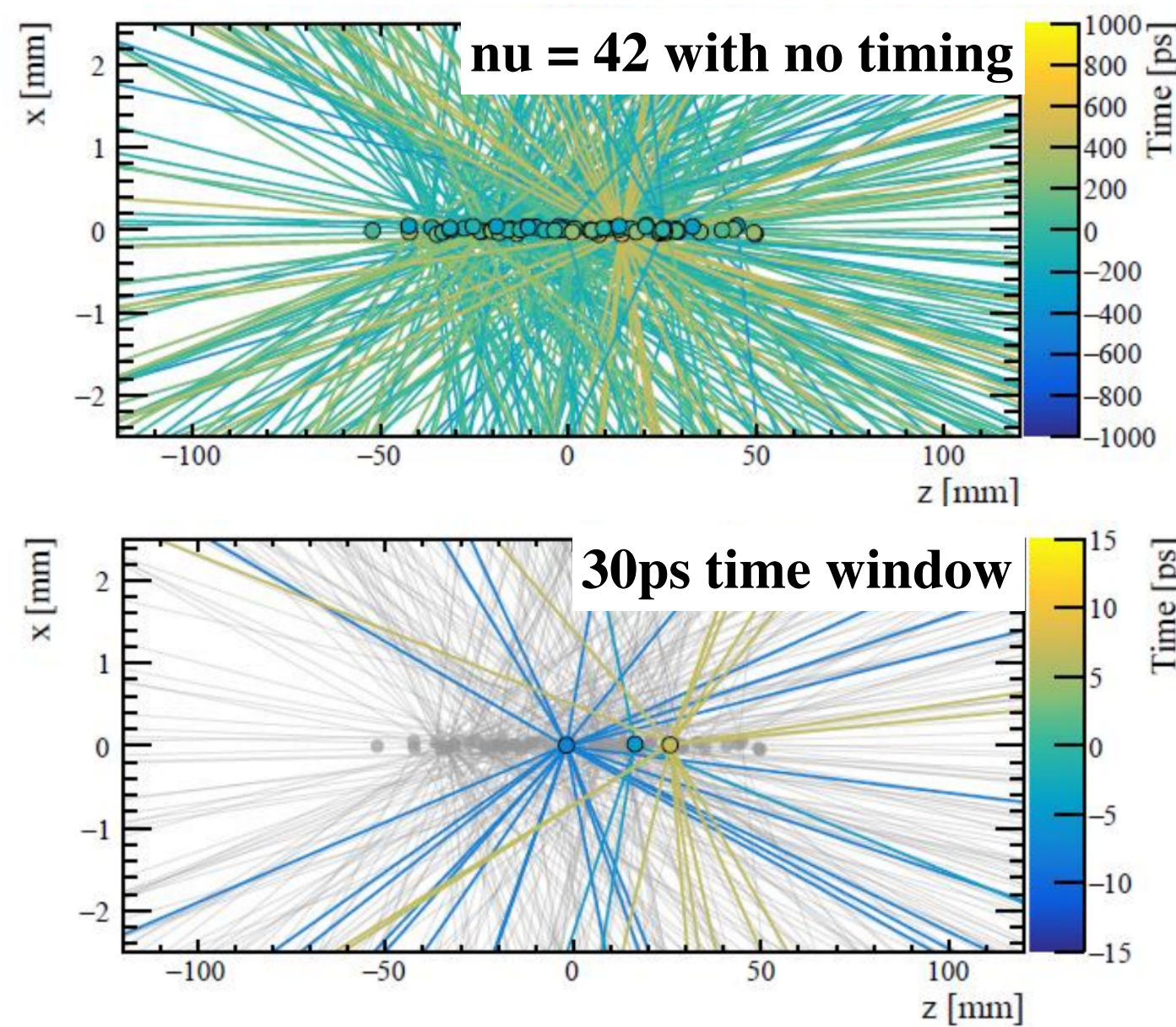
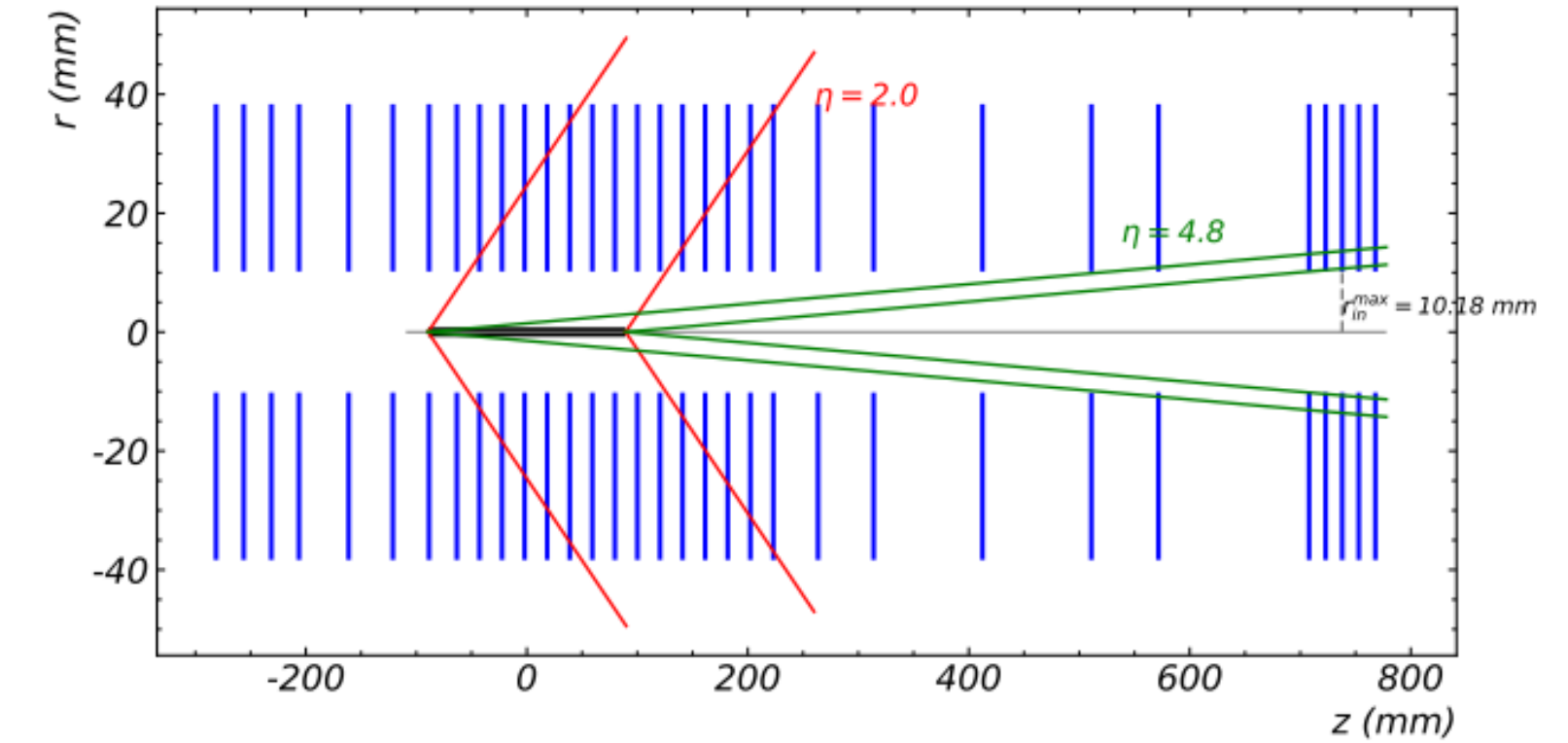
- TV tracks + UP hits (UP tracks)
- TV tracks + UP hits/UP tracks + Magnet hits (ongoing)

- **Downstream tracks**

- MT tracks + UP tracks (UP hits)

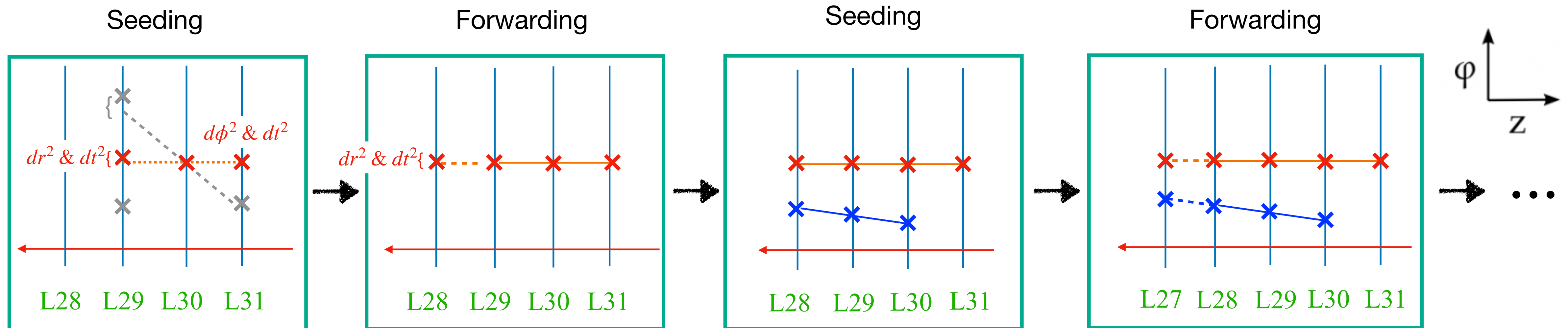
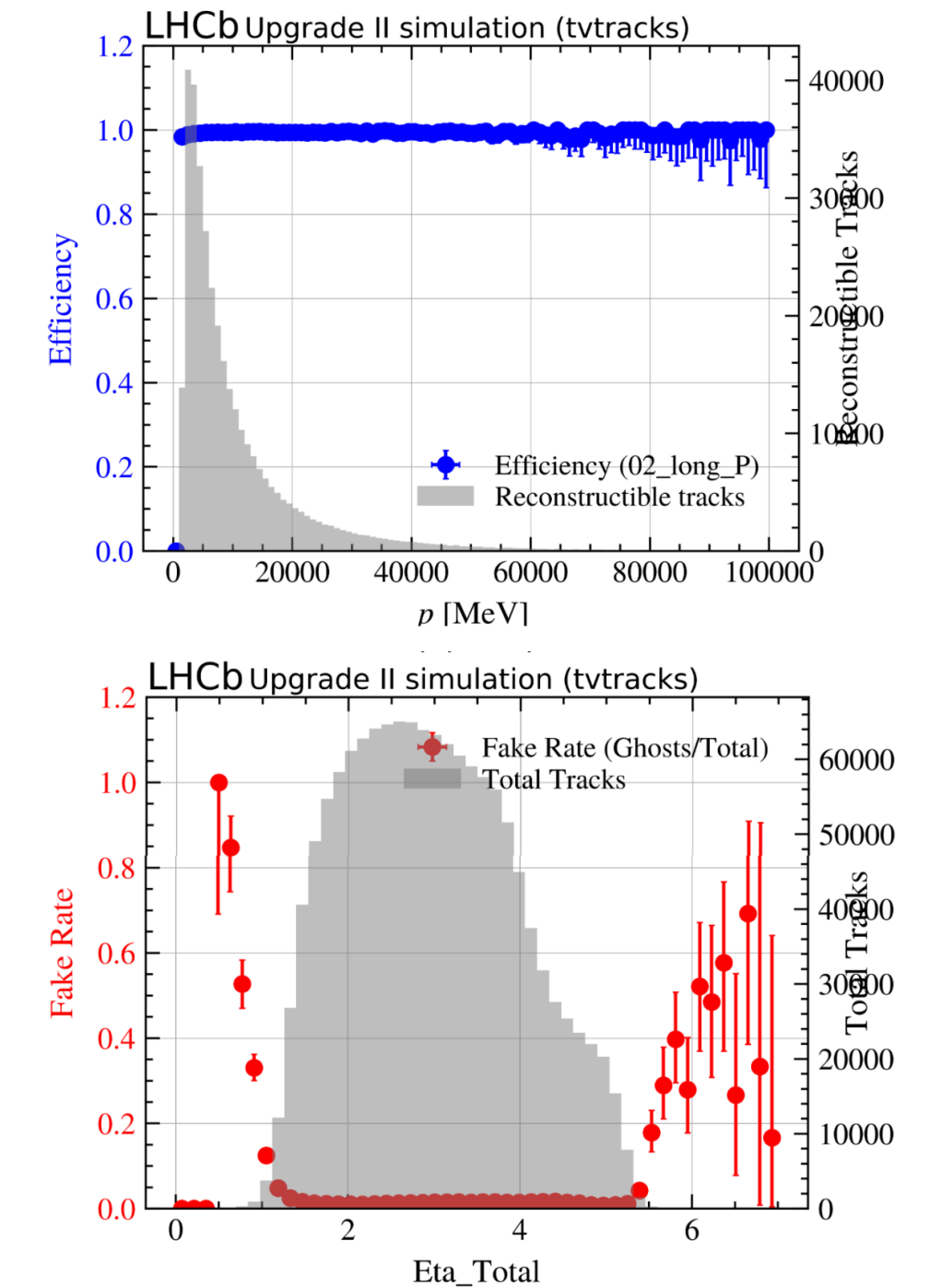


- 32 layers of silicon pixels detector
- 150 μm thick sensors with 10 μm spatial resolution
- **Recover UI performance at UII conditions**
 - An additional 50ps time resolution per hit for PV reconstruction
 - Reduce material and improve spatial resolution for IP resolution

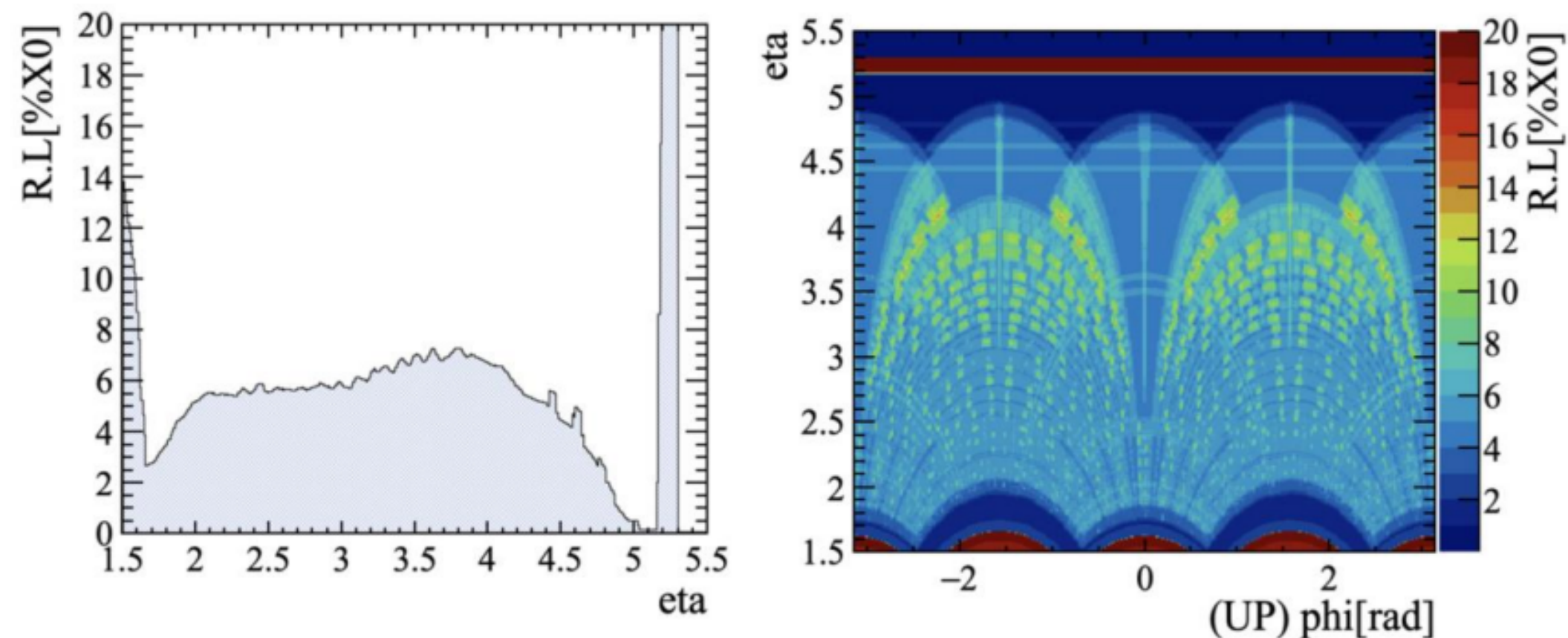
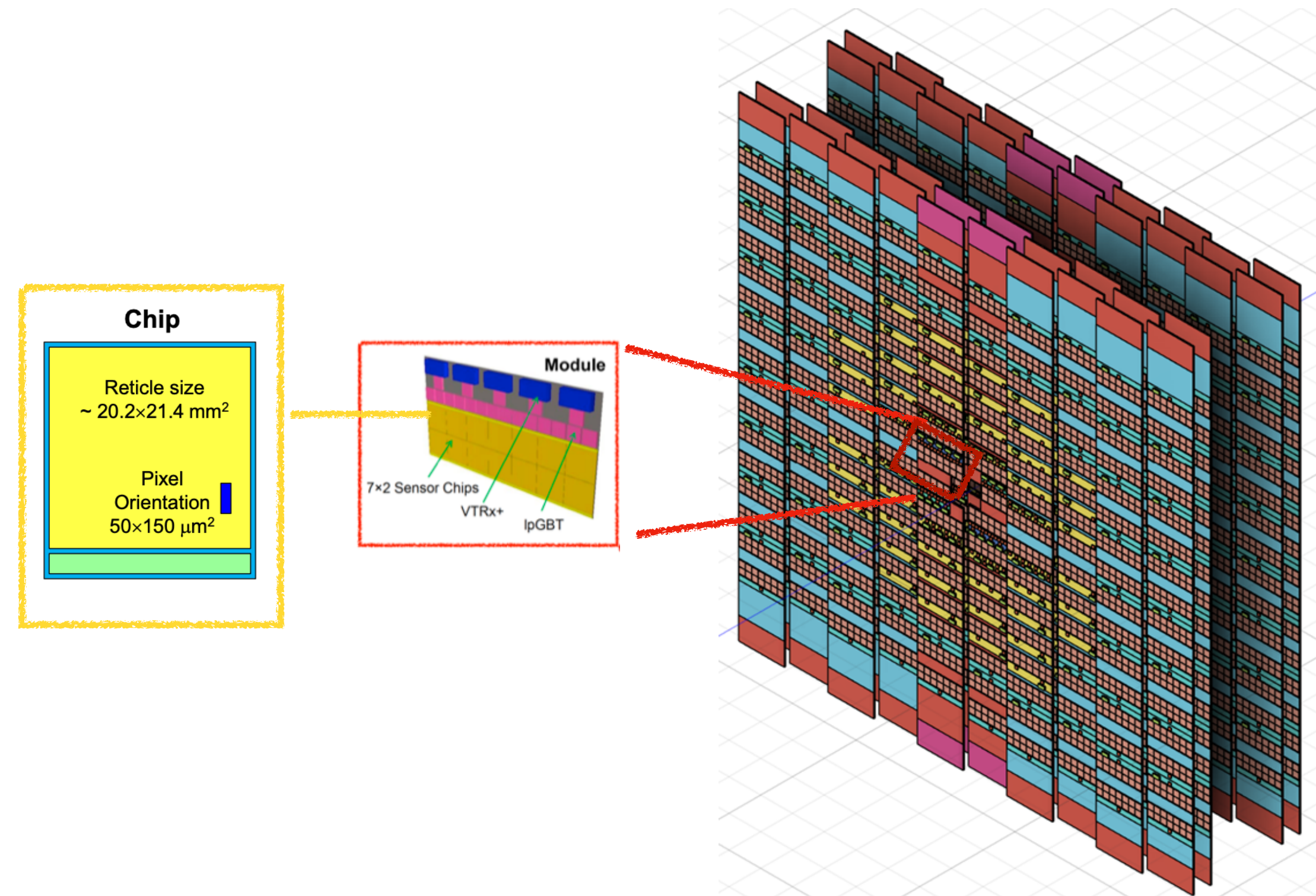


4D tracking algorithm

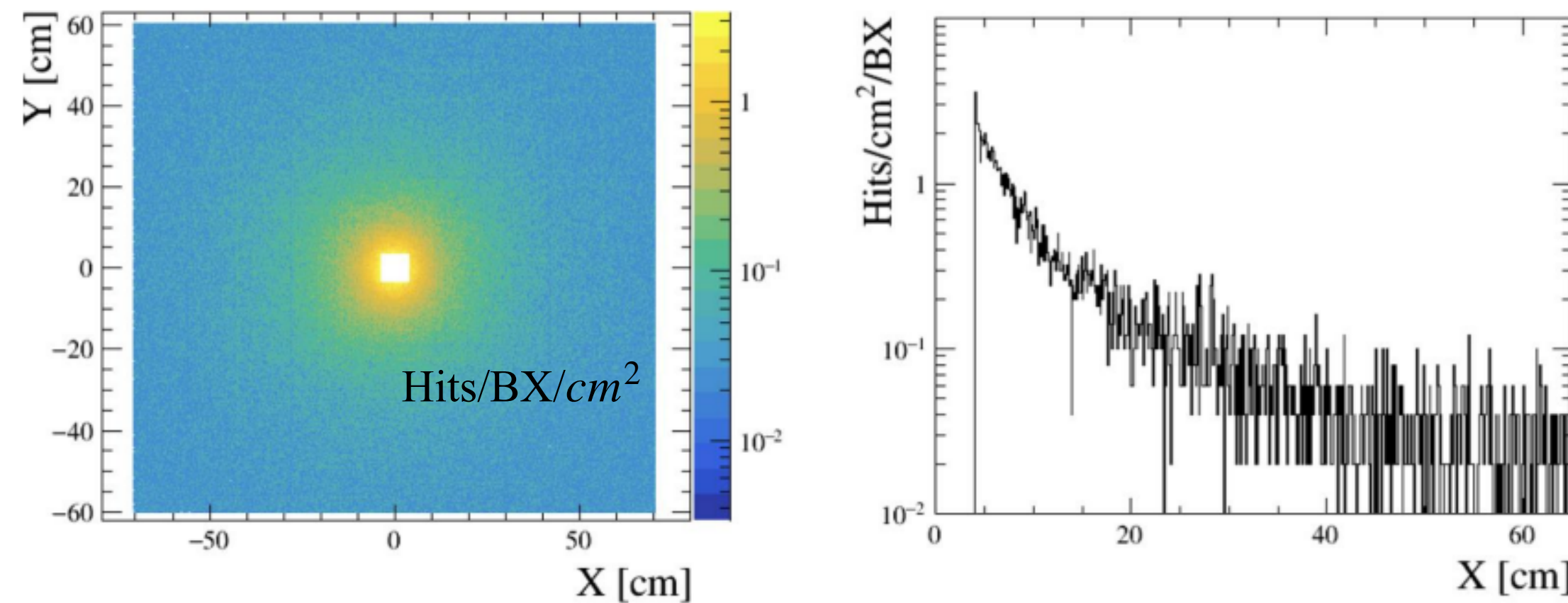
- Use seeding - forwarding algorithm to get the best tracking candidate
 - Make 3 hits seed on consecutive 3 layers
 - Extrapolate seed to next layer
 - Choose hits based on $d\phi$, dr^2 and dt^2
- Fit tracks and update results (position, direction, time and state covariance matrix)



- **Chinese group contributes significantly**
- 4 layers MAPS-based pixel detector
- Material budget $\sim 1.2\%$ per layer
- Hit rate for pp at $X = 4 \text{ cm} \sim 74 \text{ MHits/s/cm}^2$
- **UP plays a key role in LHCb tracking system**
 - Fast estimates momentum for trigger system
 - Essential to reconstruct long lived particles, e.g. K_S^0 , Λ



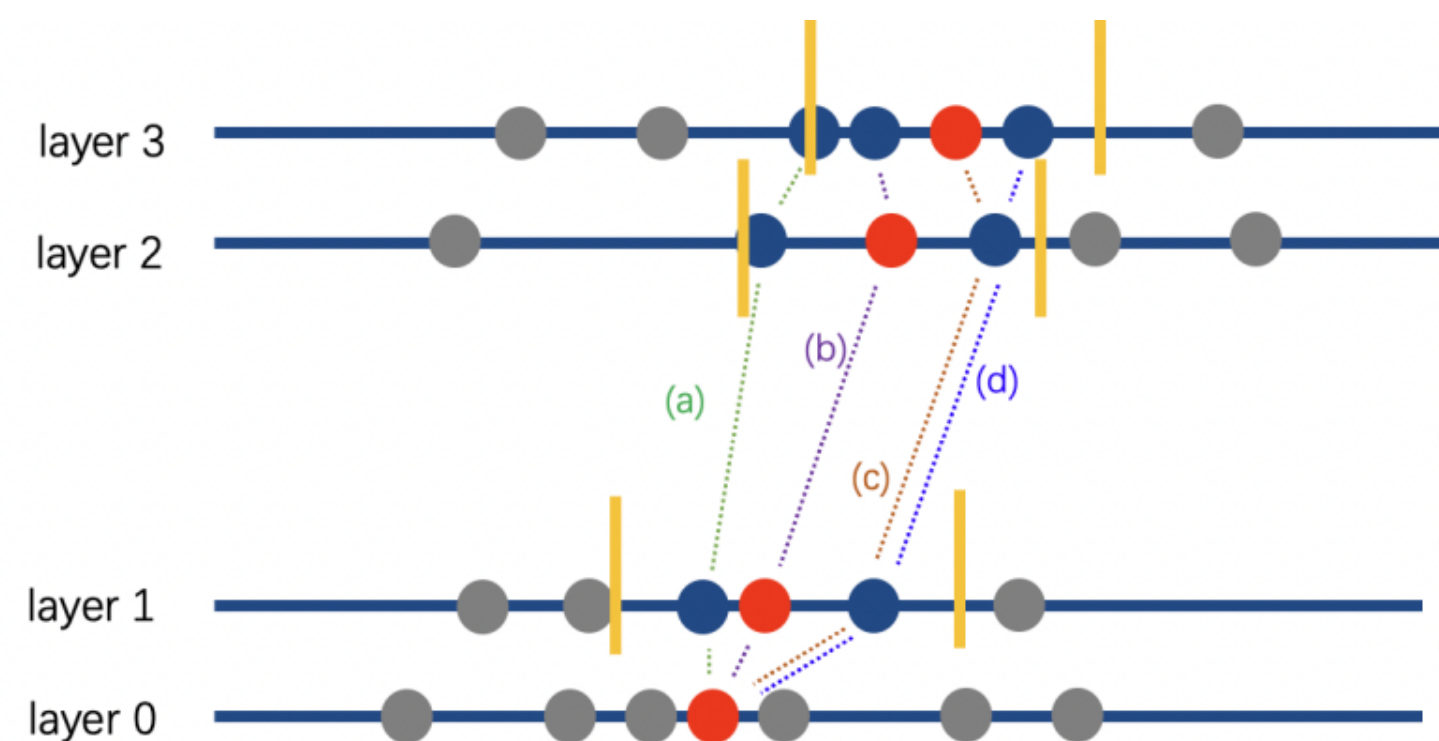
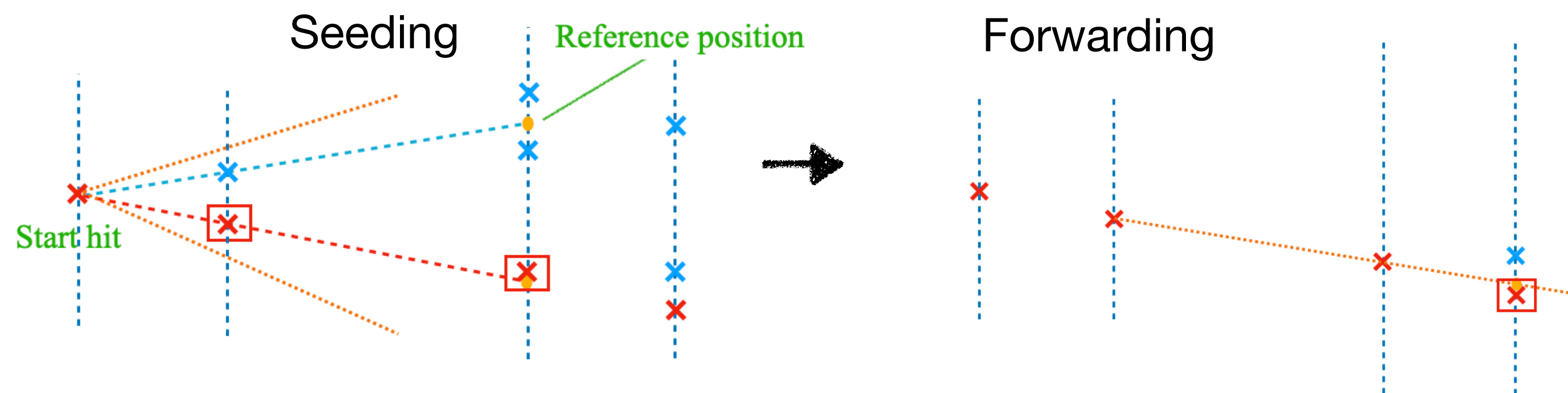
R.L. distribution (4 UP layers)



Hits map @ (UP layer1, $\mathcal{L} = 1.0 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$, pp at 30MHz)

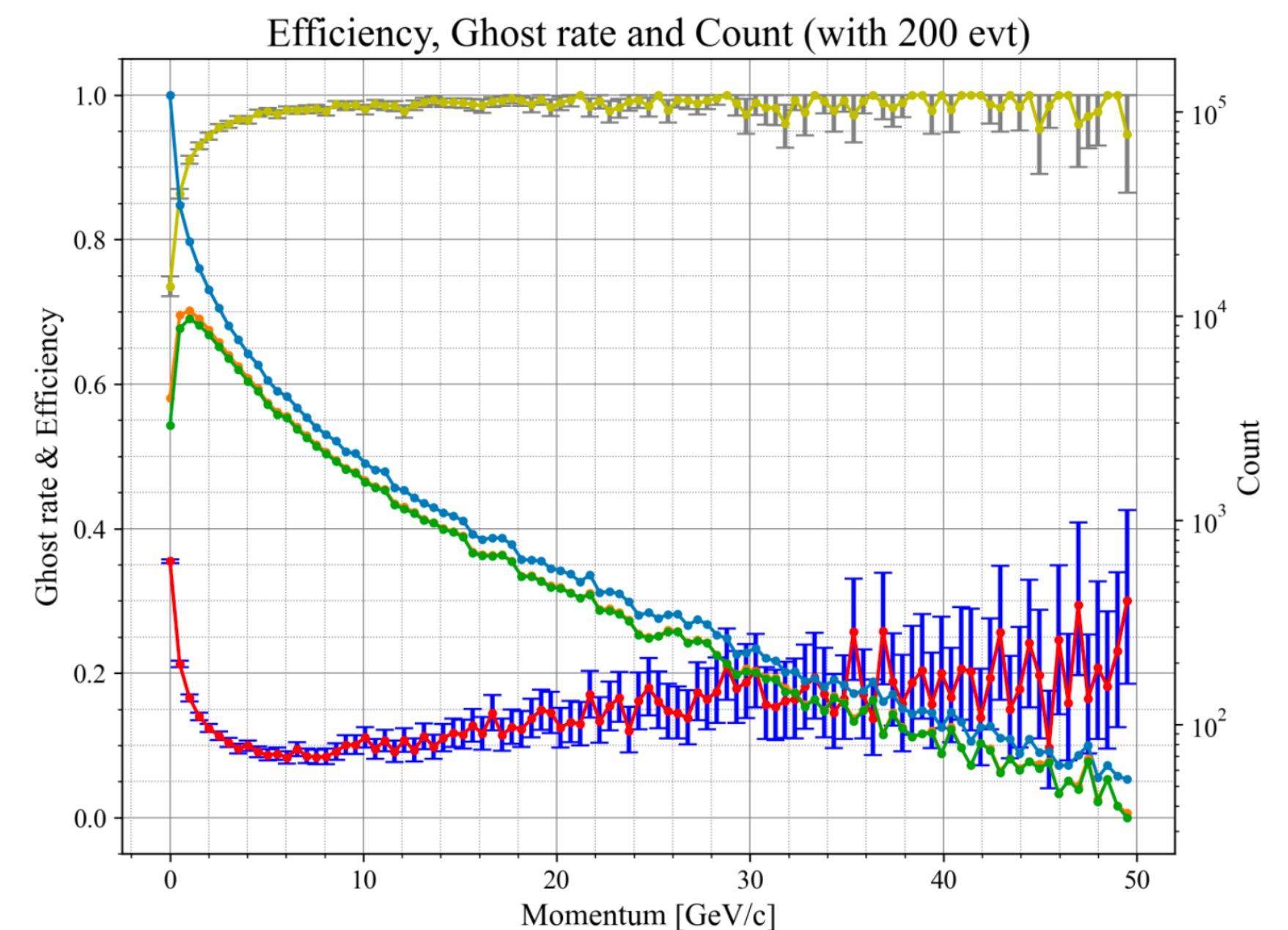
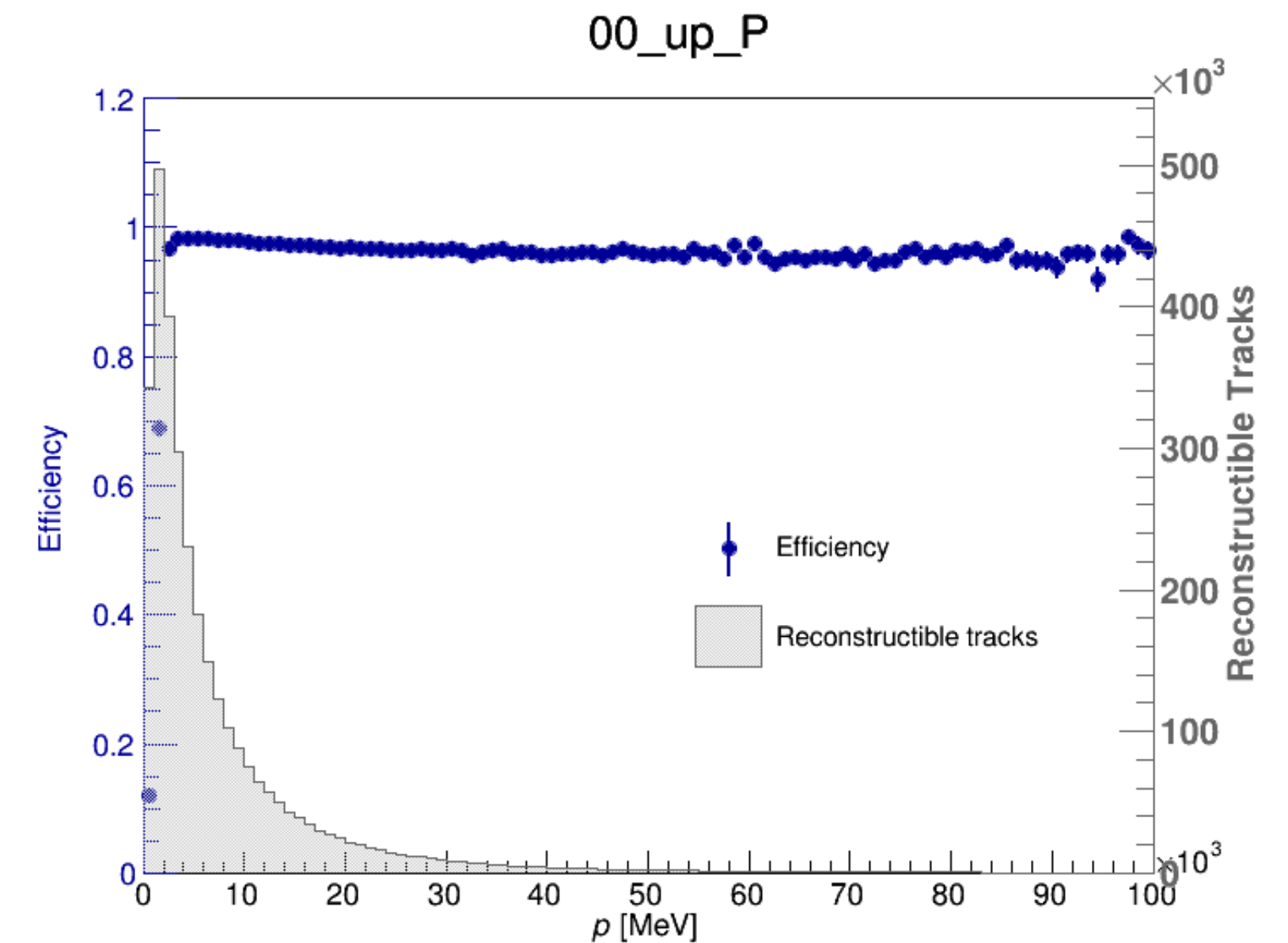
At least 3 UP layers with hit in each UP standalone track

- **Traditional algorithm in LHCb U2 framework**
 - Similar seed-forward algorithm with TV
- **Several works ongoing, covering the MLP, CAT or GNN**

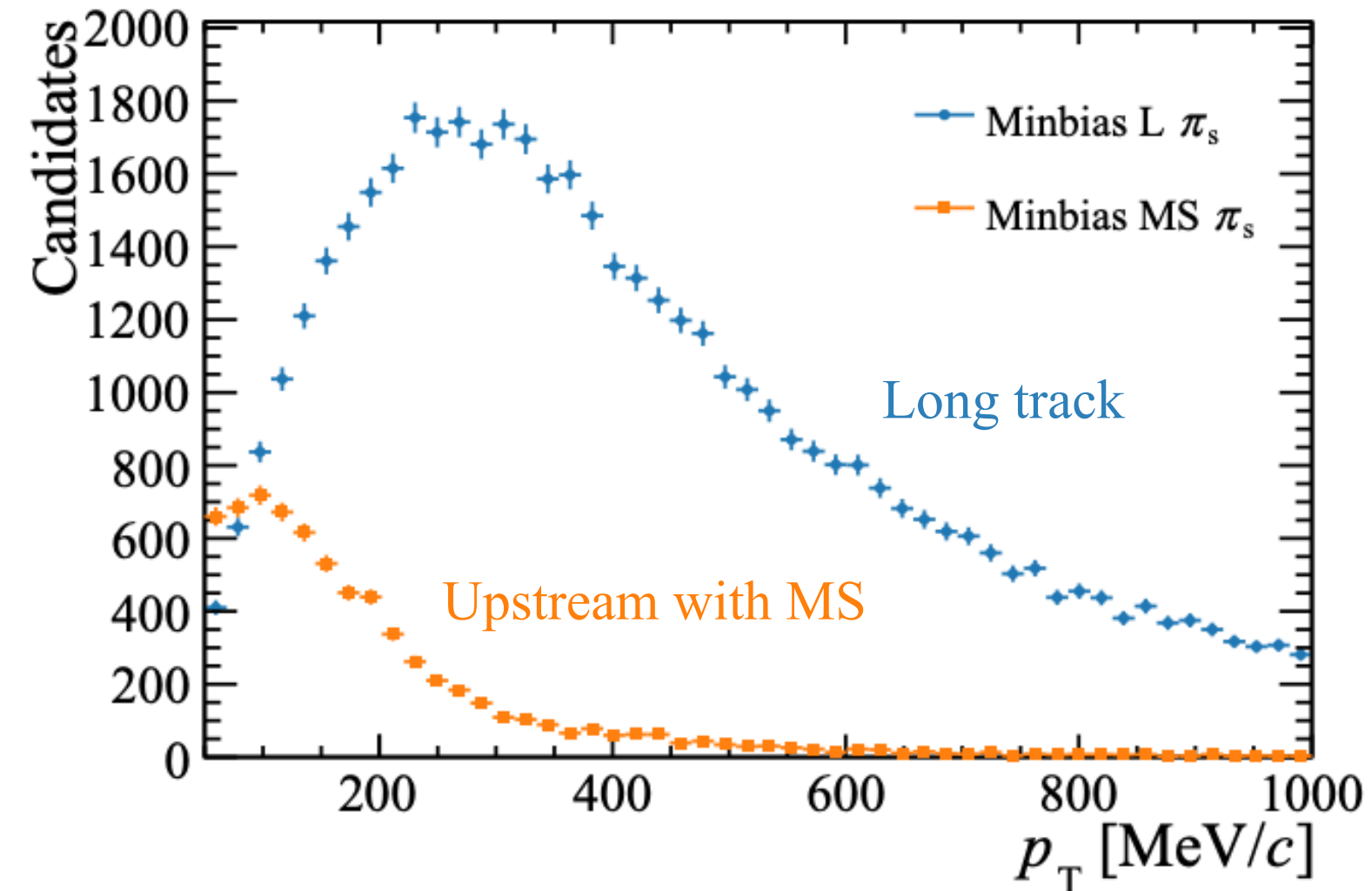
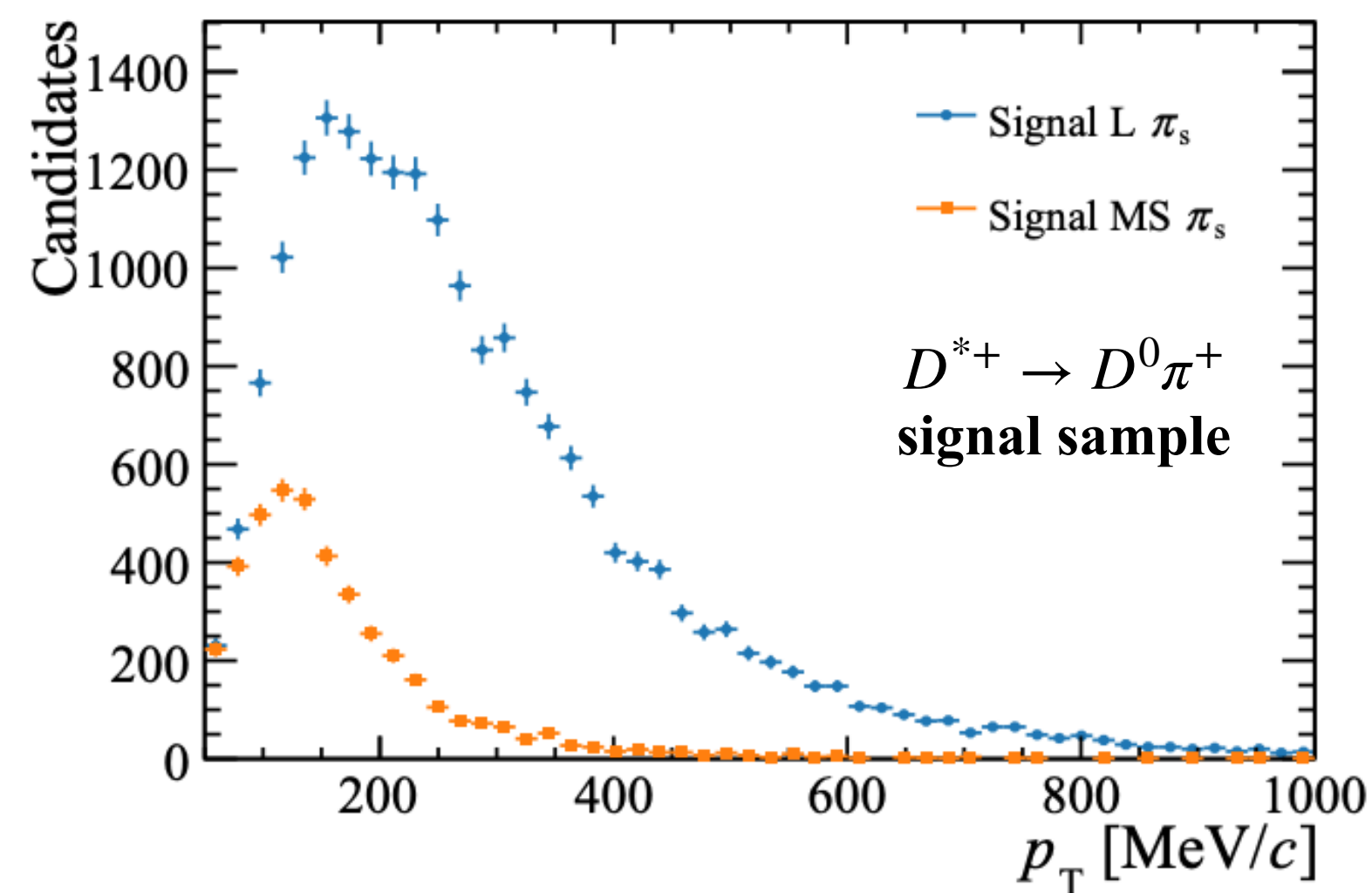
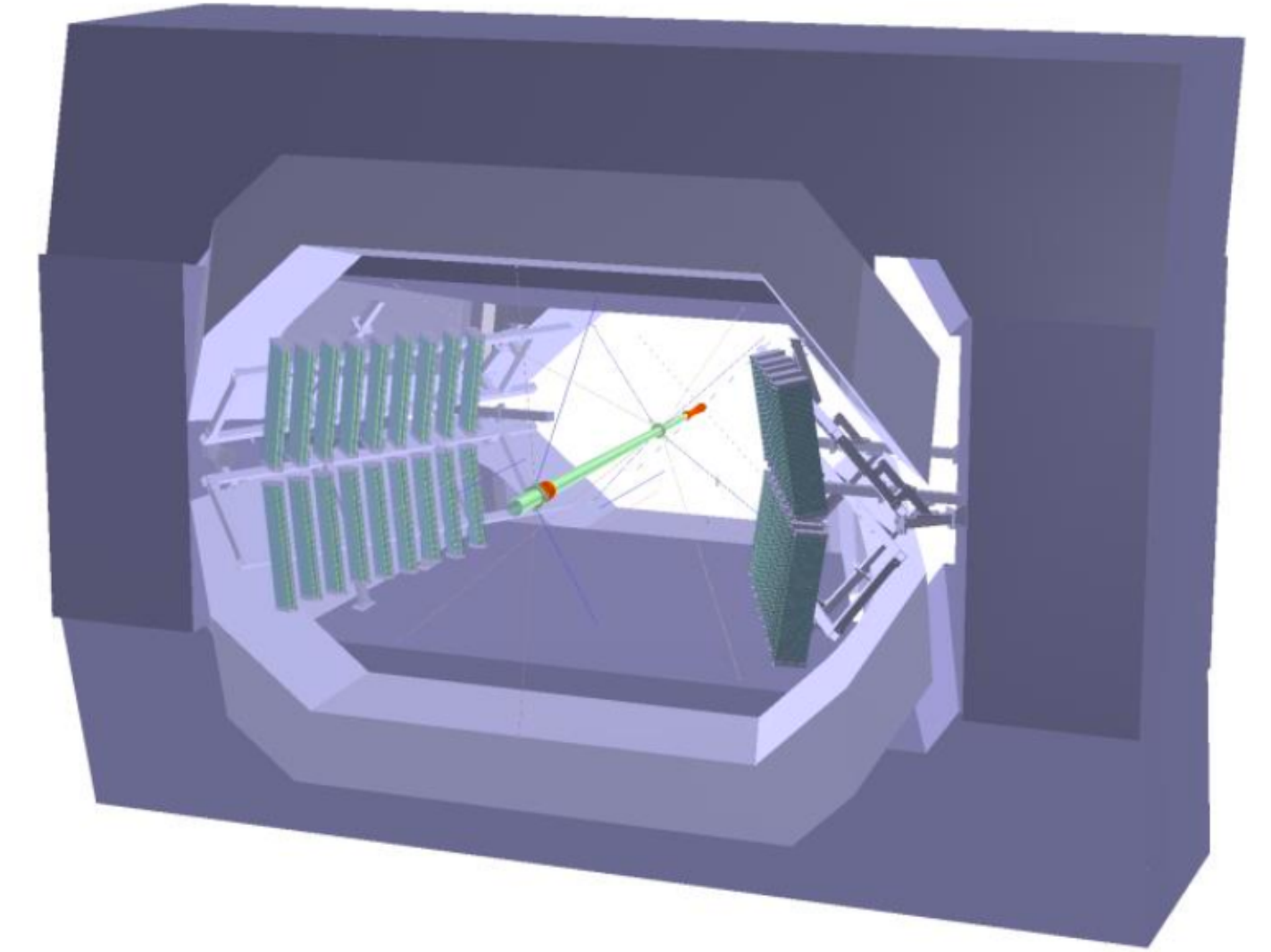


track (a) should be classified as [1, 0, 0, 0],
 track (b) should be classified as [1, 1, 1, 0],
 track (c) should be classified as [1, 0, 0, 1],
 track (d) should be classified as [1, 0, 0, 0],

In MLP, transformed into a classification problem



- New sub-detector for UII
- Scintillating-based tracker located inside the magnet to provide measurements of the positions and directions of particles hitting the magnet side walls
- **Improves momentum resolution of upstream tracks ($\sim 10\text{-}20\% \rightarrow \sim 1\%$)**
- **Significant gains for modes with soft tracks (eg. $D^{*+} \rightarrow D^0\pi^+$)**

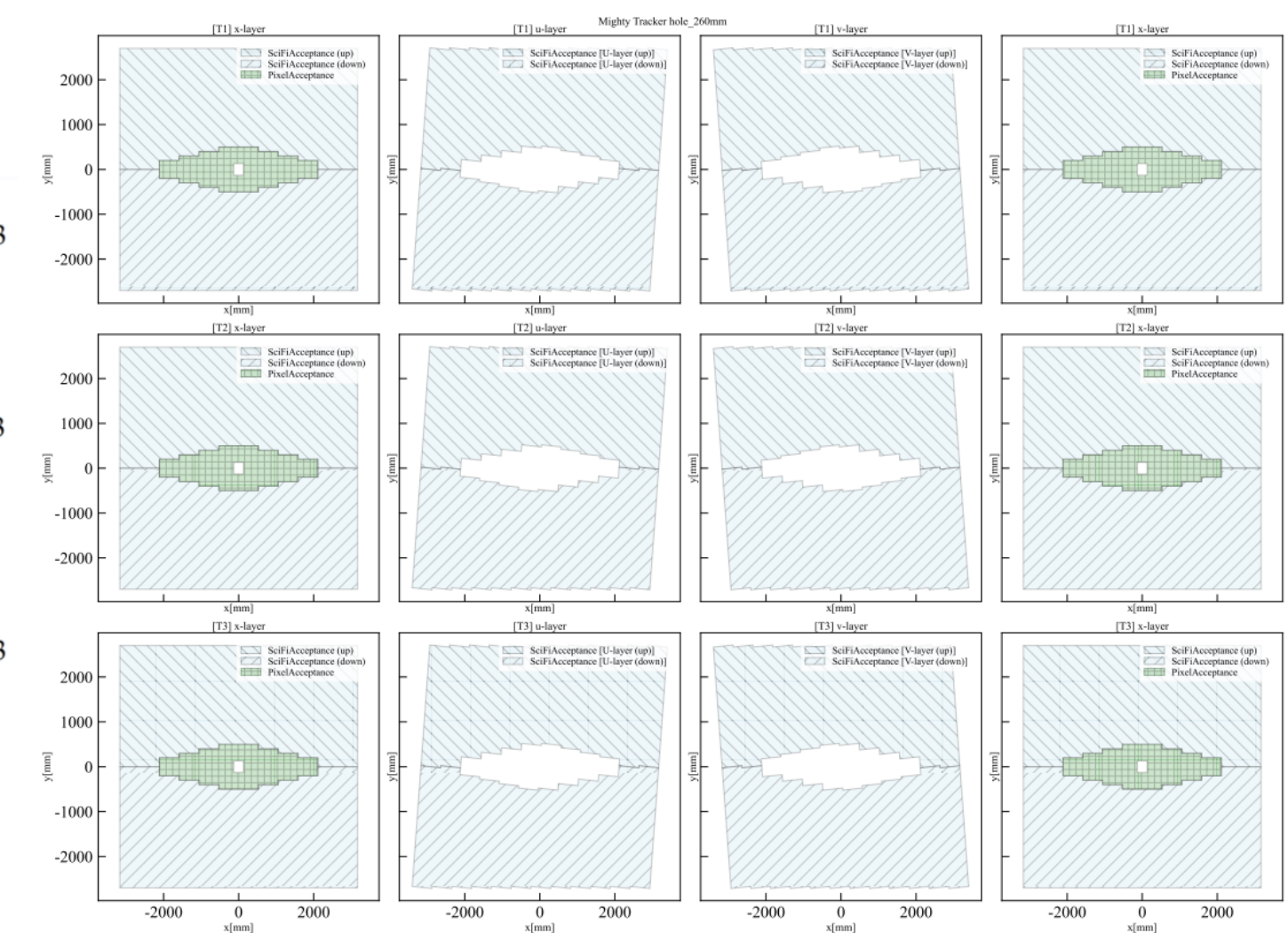
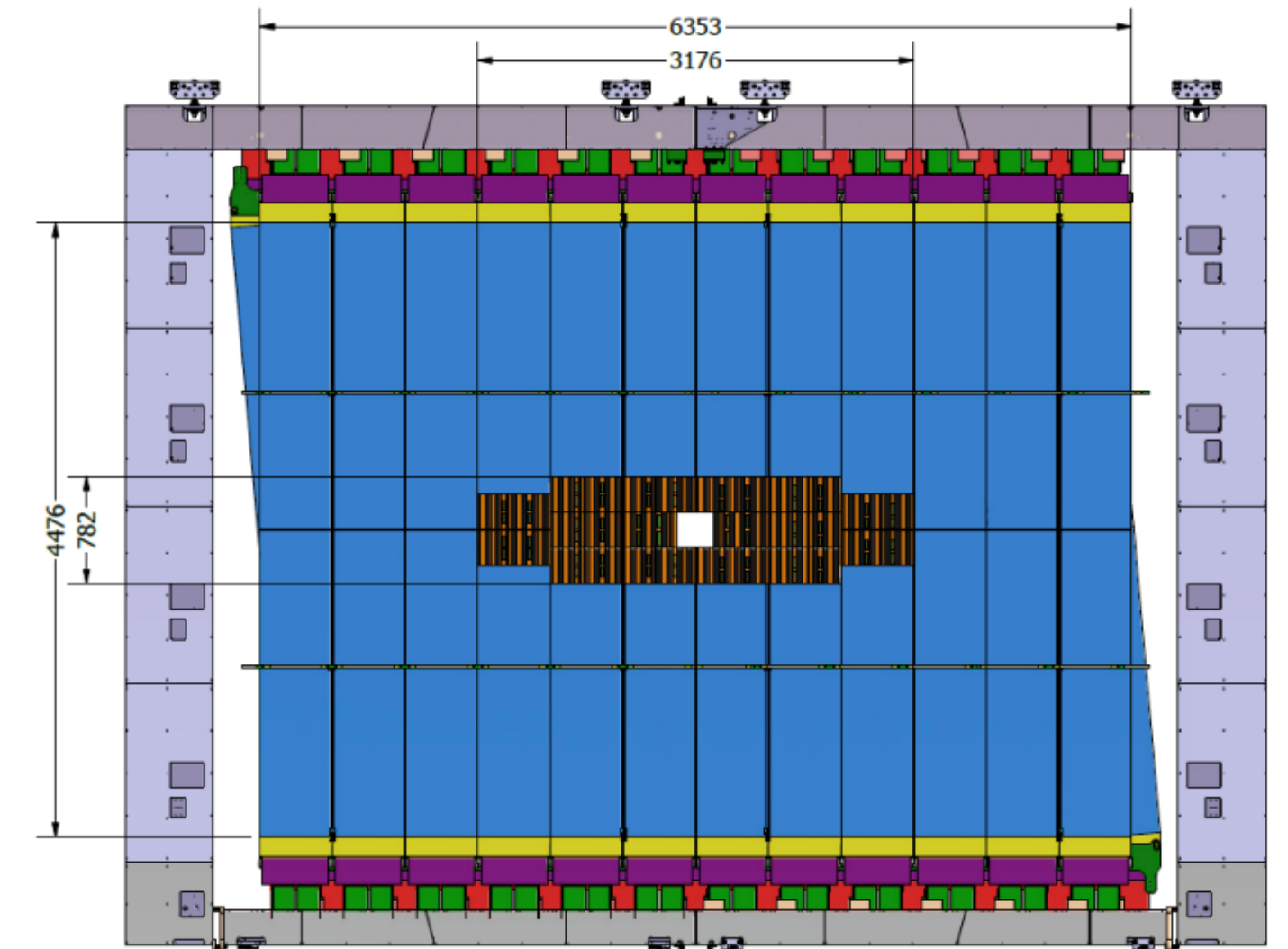
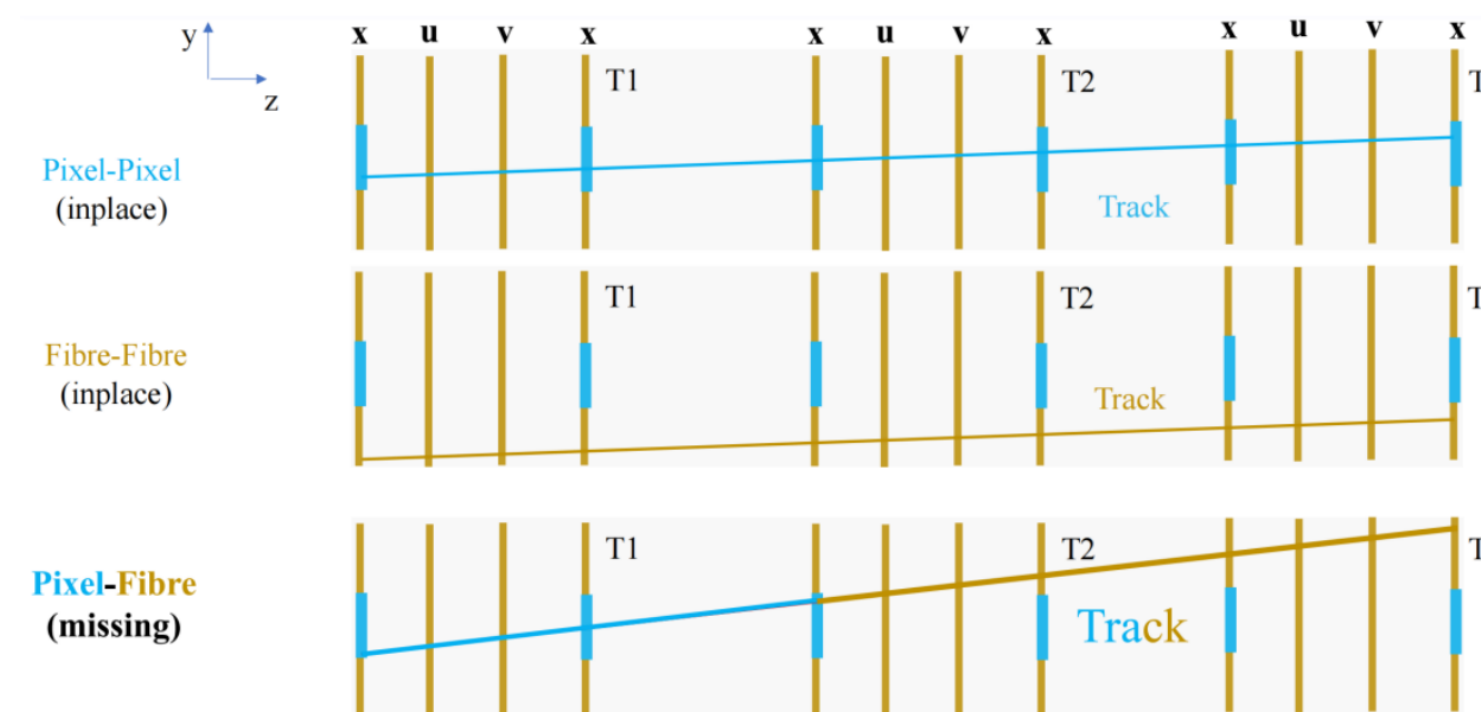


Mighty-SciFi

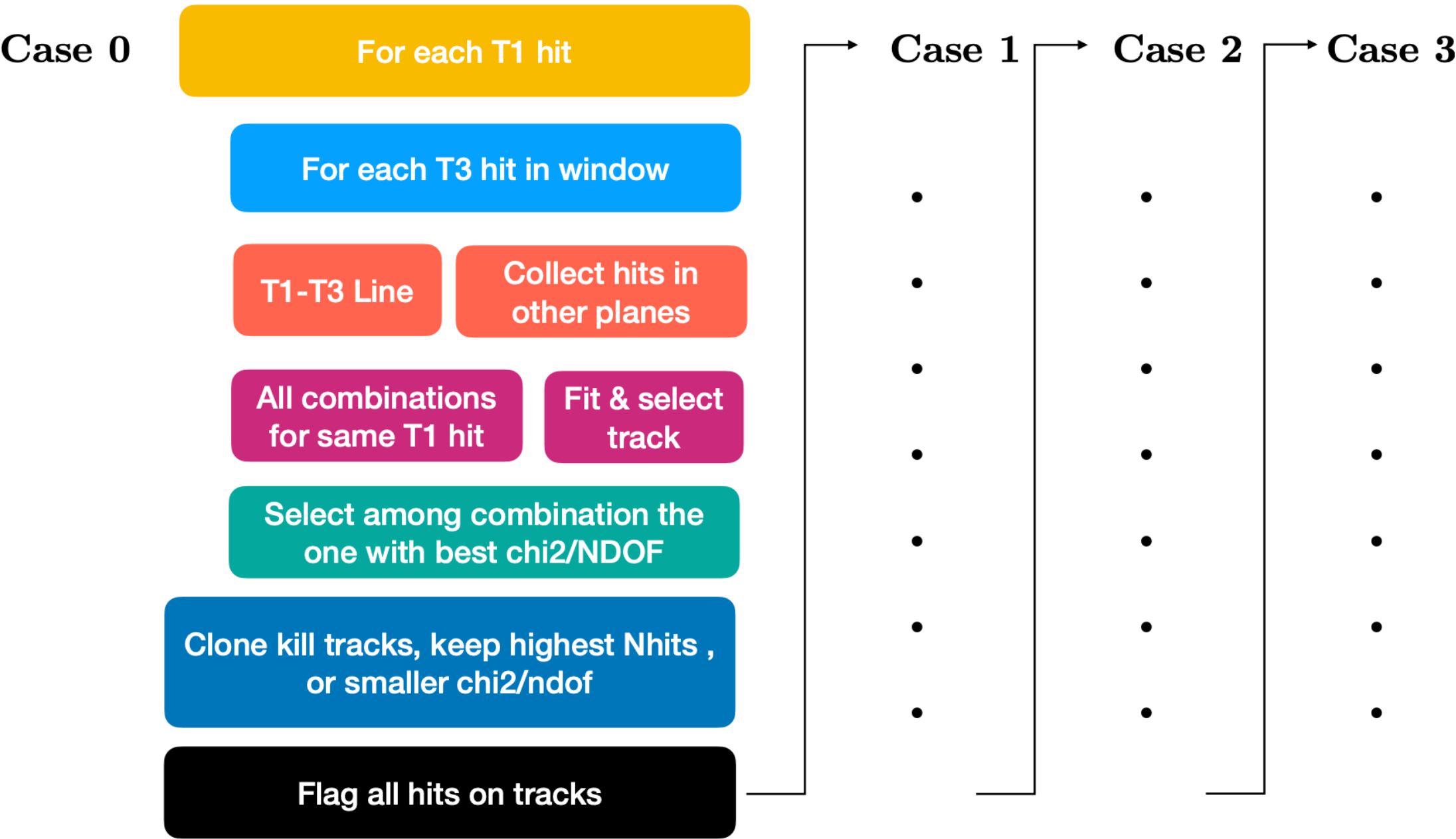
- Twelve layers of scintillating fibres with SiPM readout
- Keep UI SciFi design at outer region but with significant improvements
 - Improve light collection using micro-lens (μ Lens) enhanced detectors.
 - Reduce SiPM noise by cryogenic cooling for SiPM: $-40^{\circ}\text{C} \Rightarrow -120^{\circ}\text{C}$

Mighty-Pixel

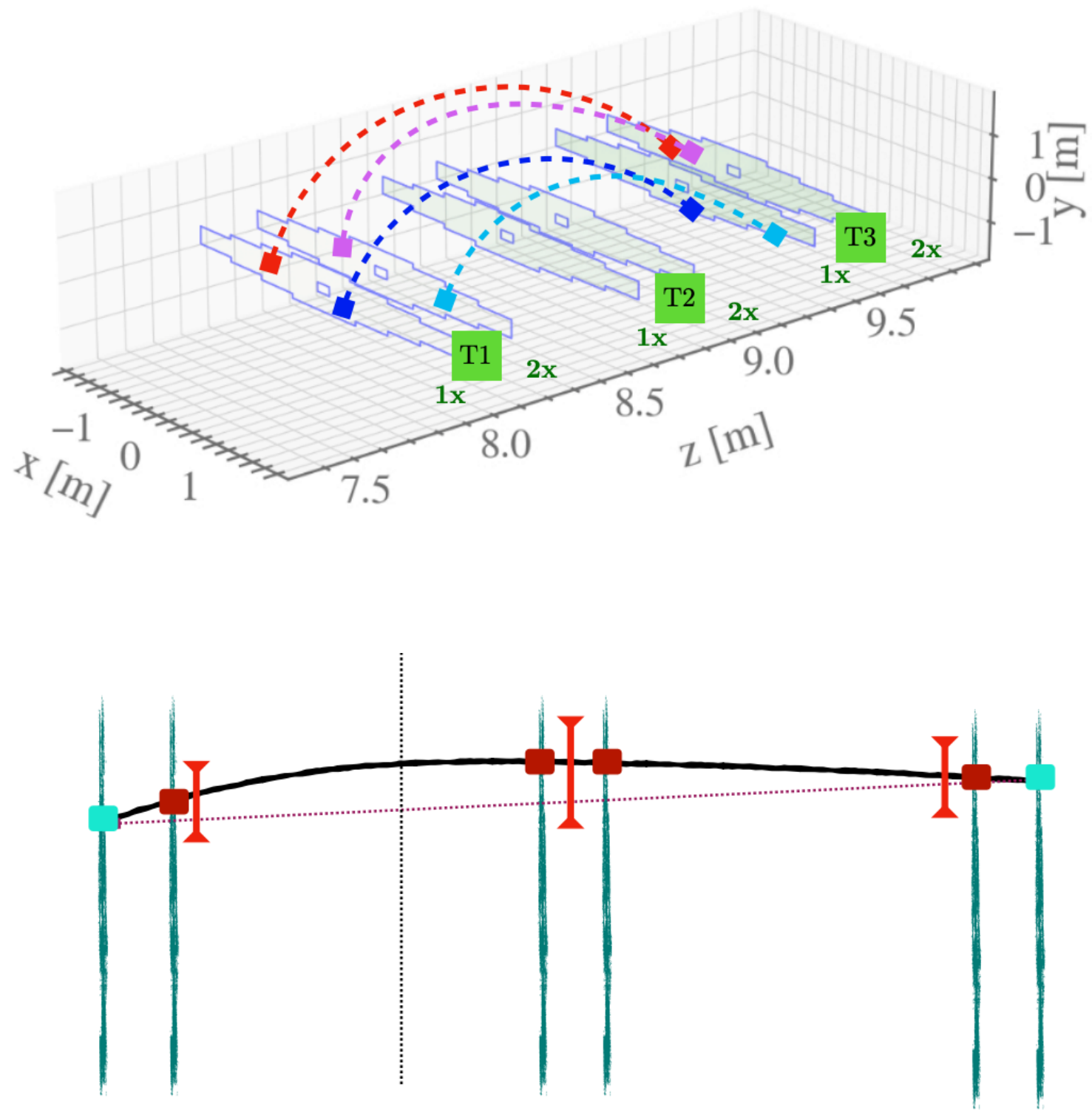
- 6 layers of silicon pixel (HV-CMOS MAPS)
- Spatial resolution: pixel size $< 100 \times 300 \mu\text{m}$
- Time resolution: $\sim 3\text{ns}$
- High radiation hardness



- Run3 algorithm reused for Scifi track
- MP track reconstruction
 - Combine T1T3 lines, then open search windows in T1, T2, T3
 - Collecting hits in remaining layers for each T1T3 pair
 - Fit & select track, select one with best $\chi^2/NDOF$
 - Use clone killer to reduce clone rate



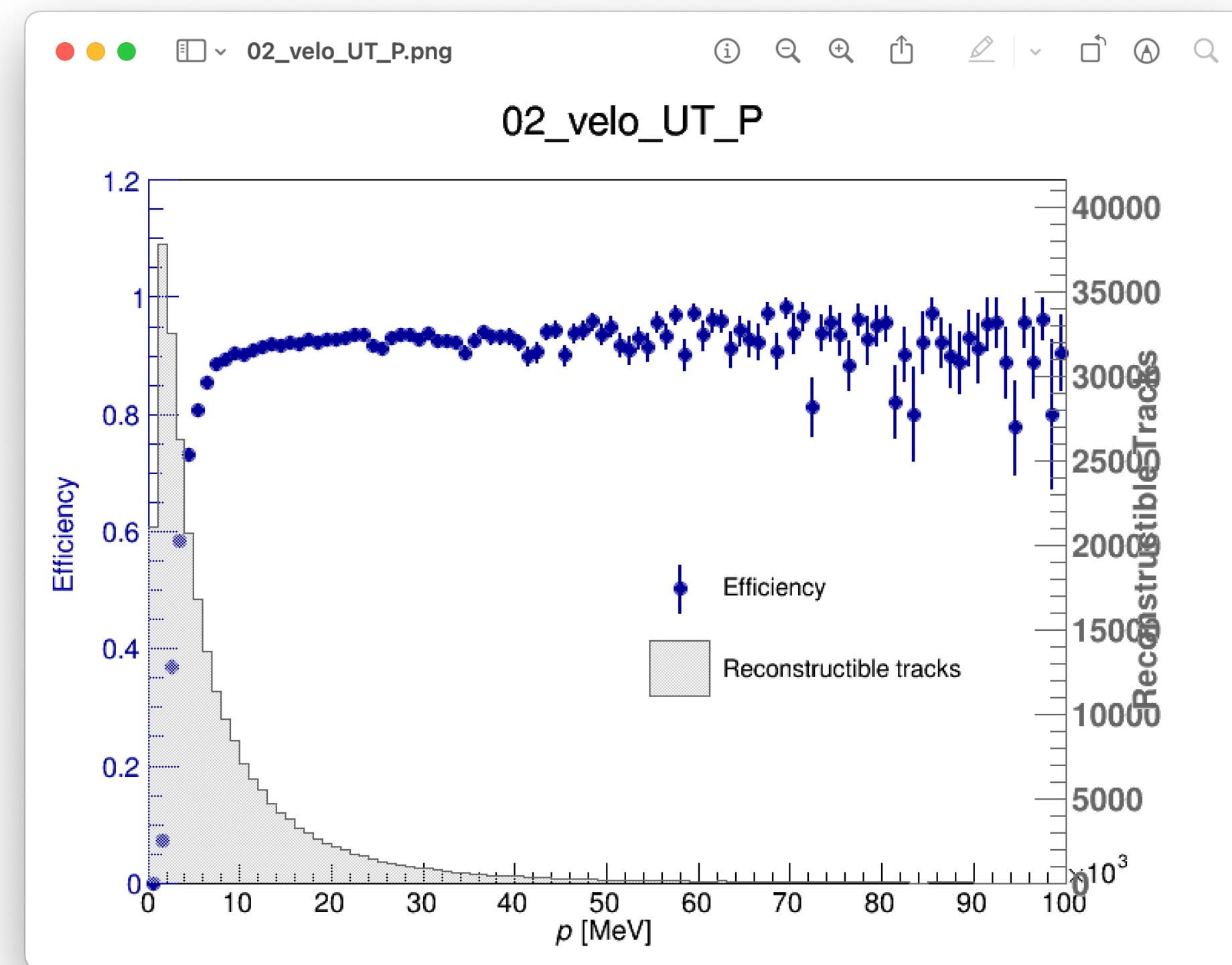
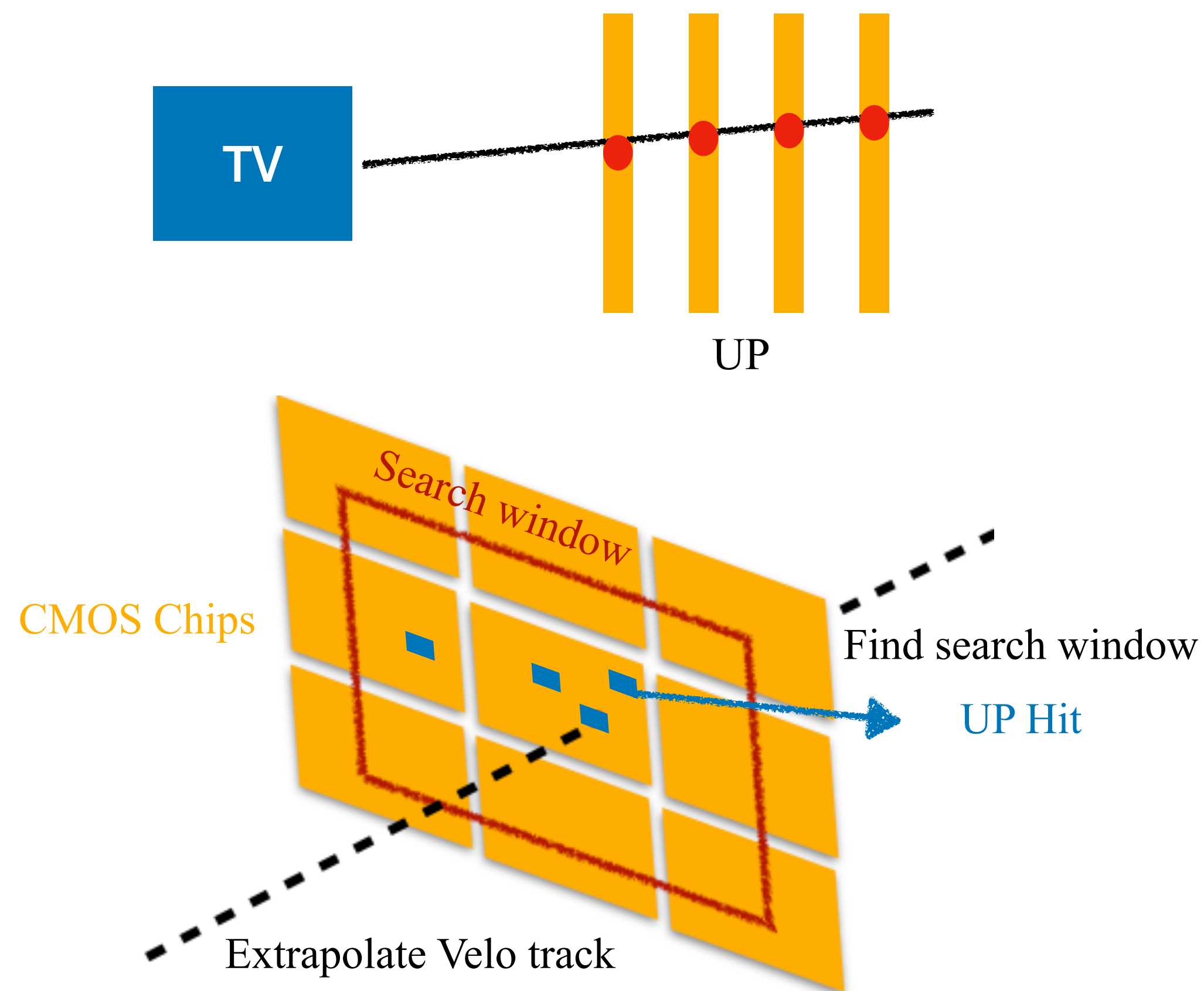
Fake rate found extremely small:
hits can be removed for a next search to find what the previous combination was not able to find



Case	T1 layer	T3 layer
0	1x	2x
1	2x	2x
2	1x	1x
3	2x	1x

$$x(z) \sim a + b \cdot z + c \cdot z^2(1 + Dz)$$
$$y(z) \sim a + b \cdot z$$

- Extrapolate TV Tracks to find search window of each UP layer (2×2 chips, 40×40 mm)
- Loop all the hits in search windows and fit with linear model to get the best track candidate

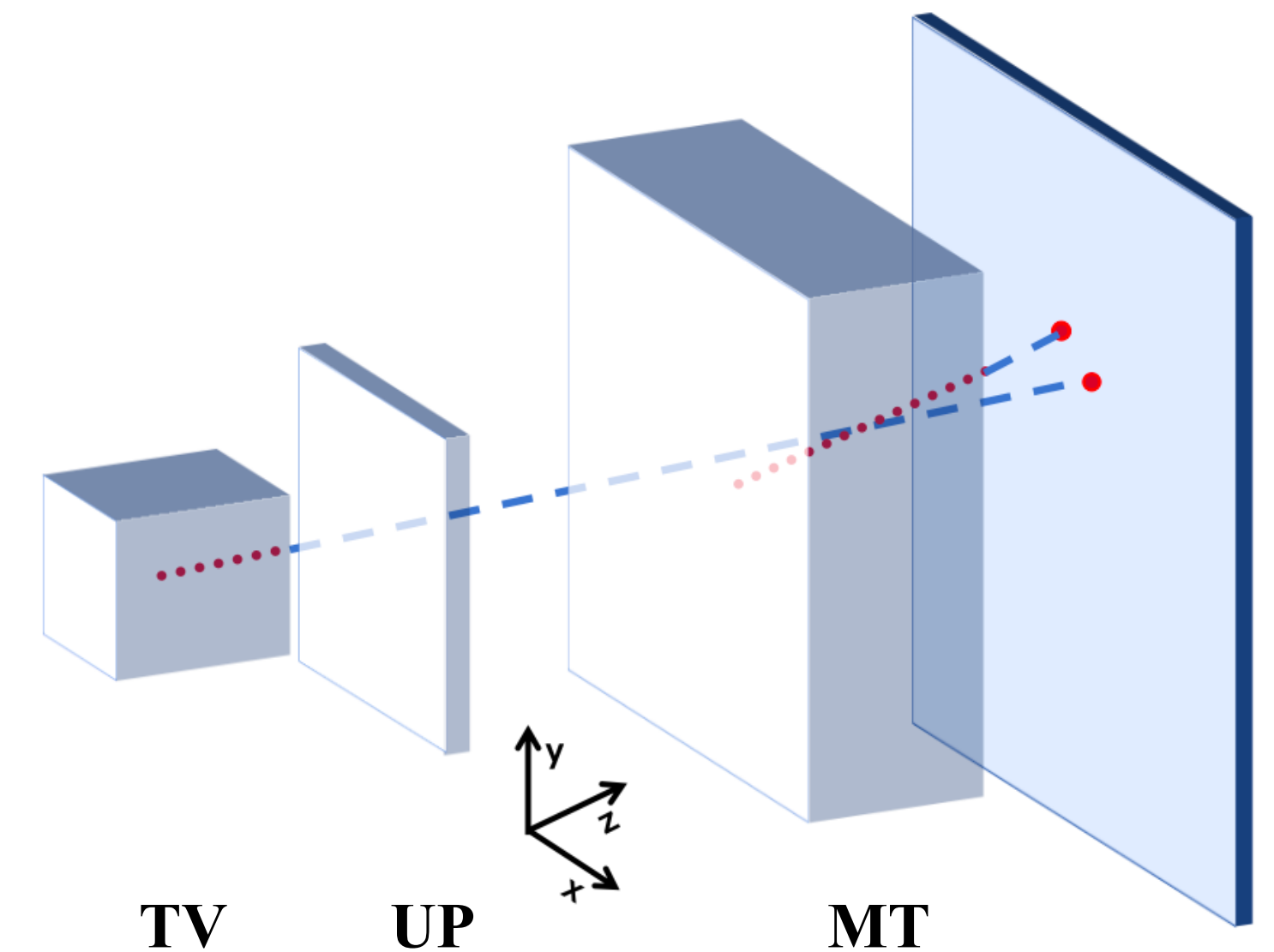
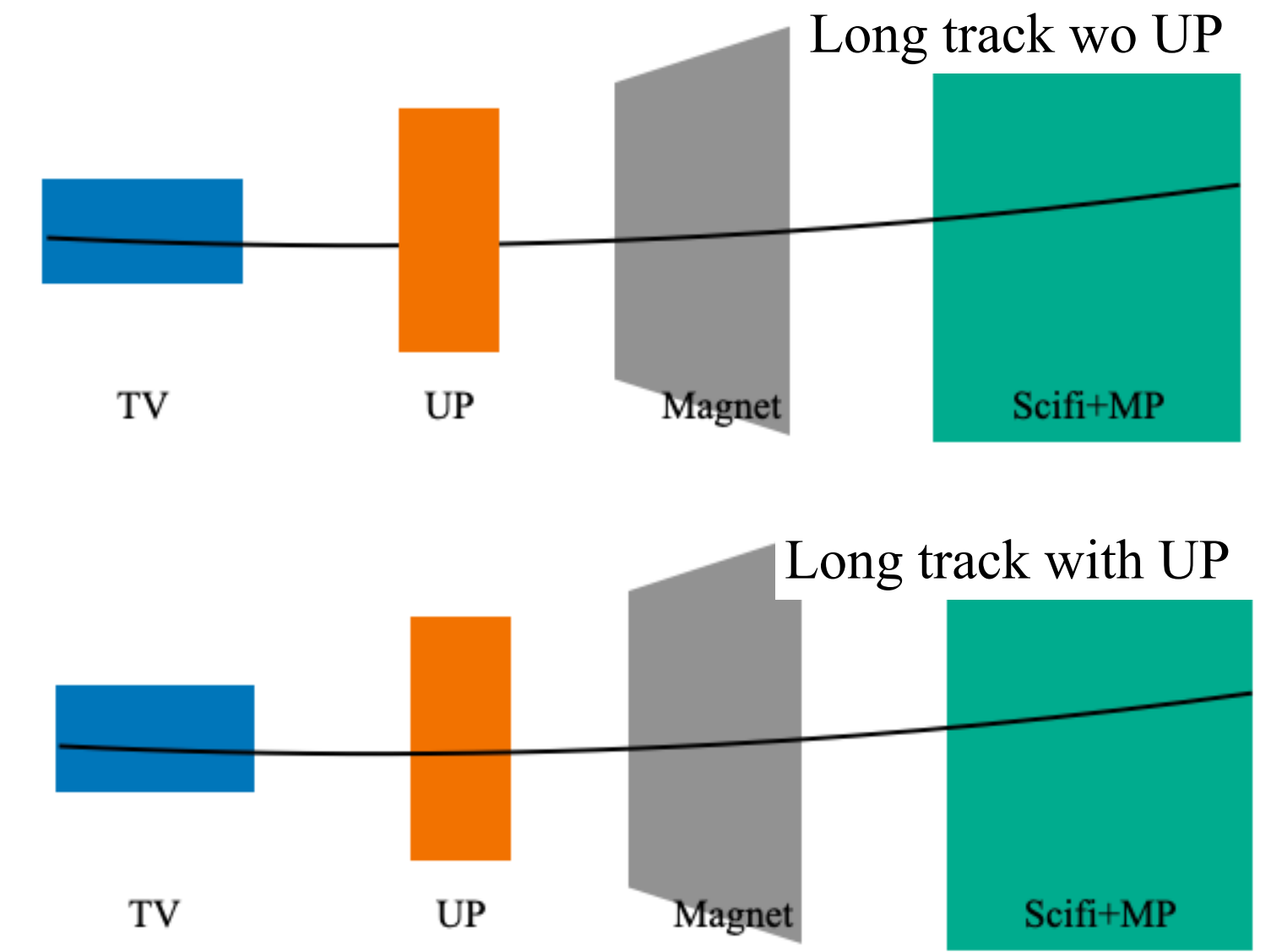
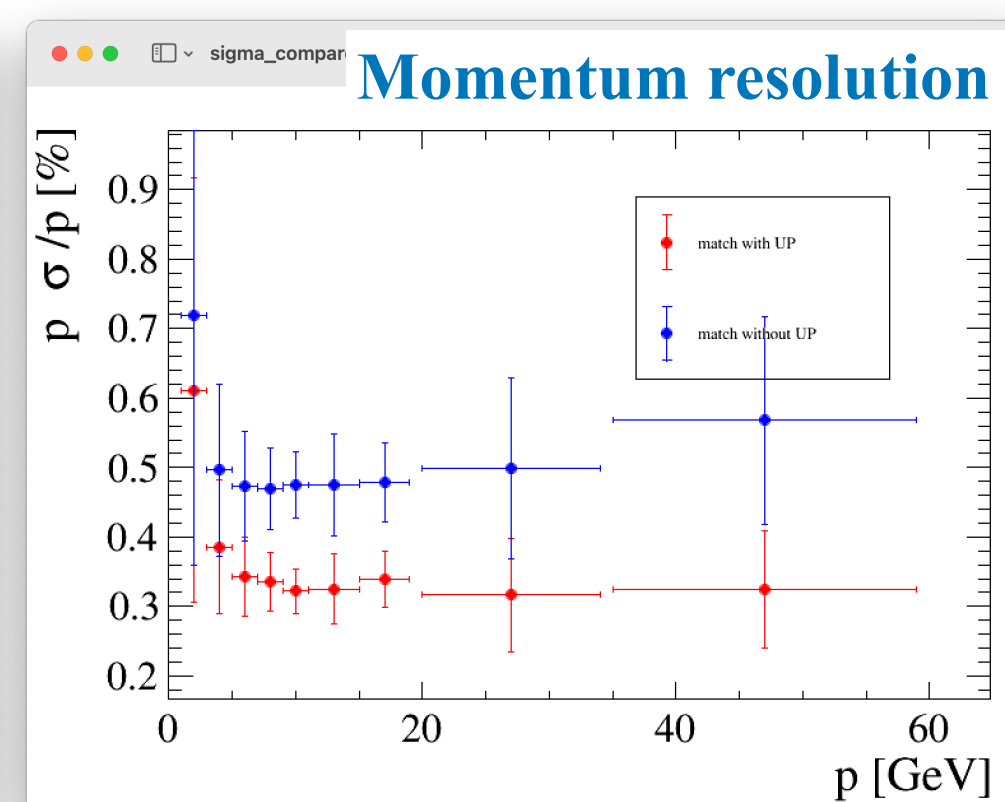
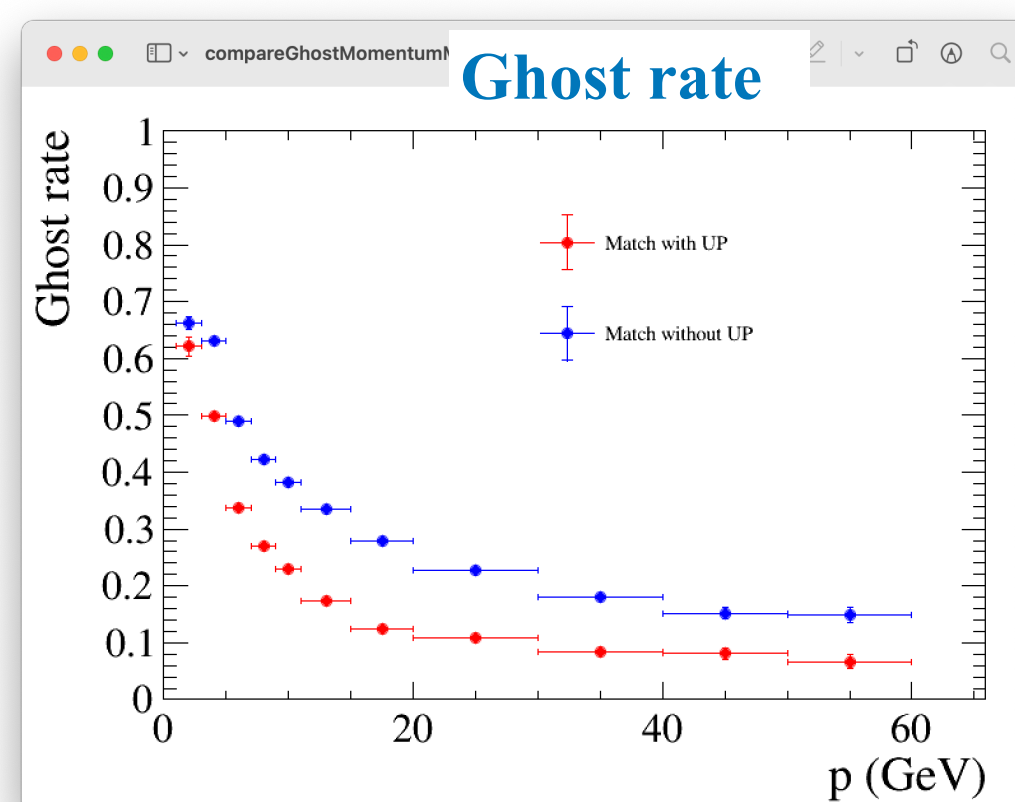
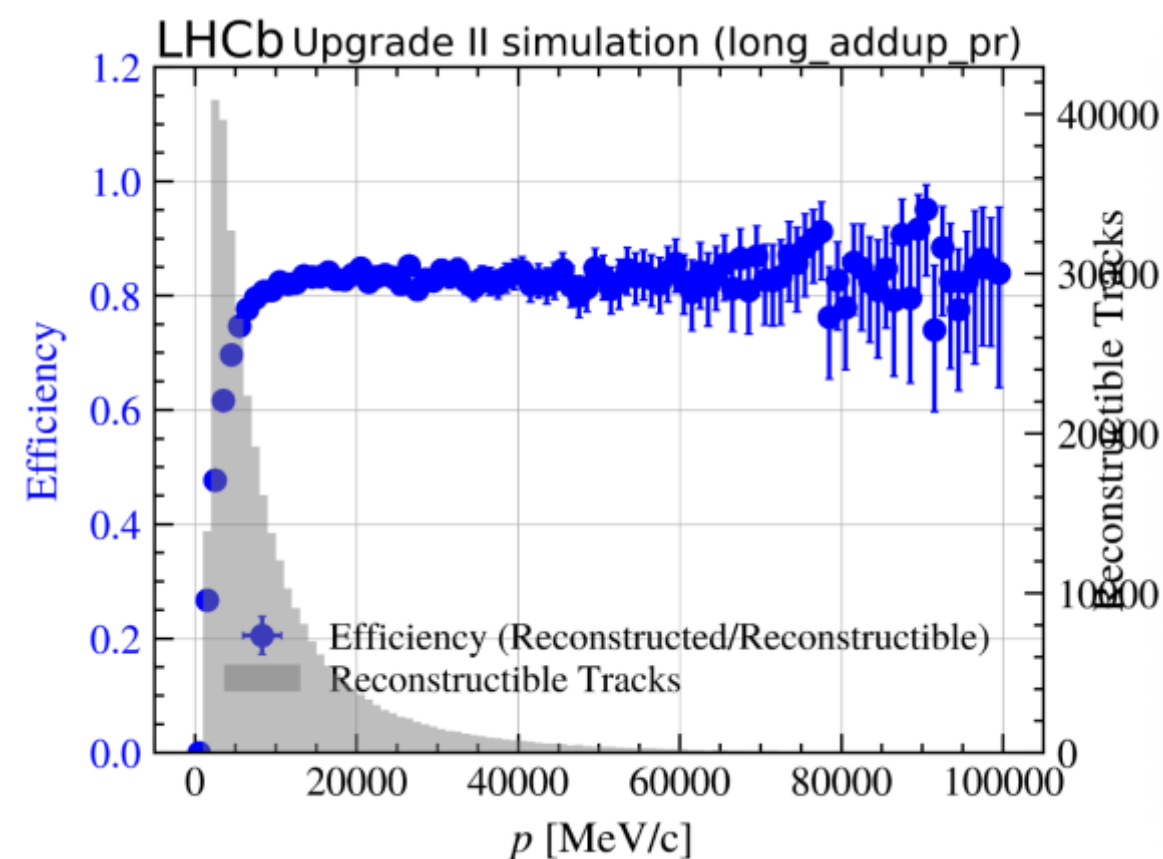


• Matching TV tracks and MT tracks

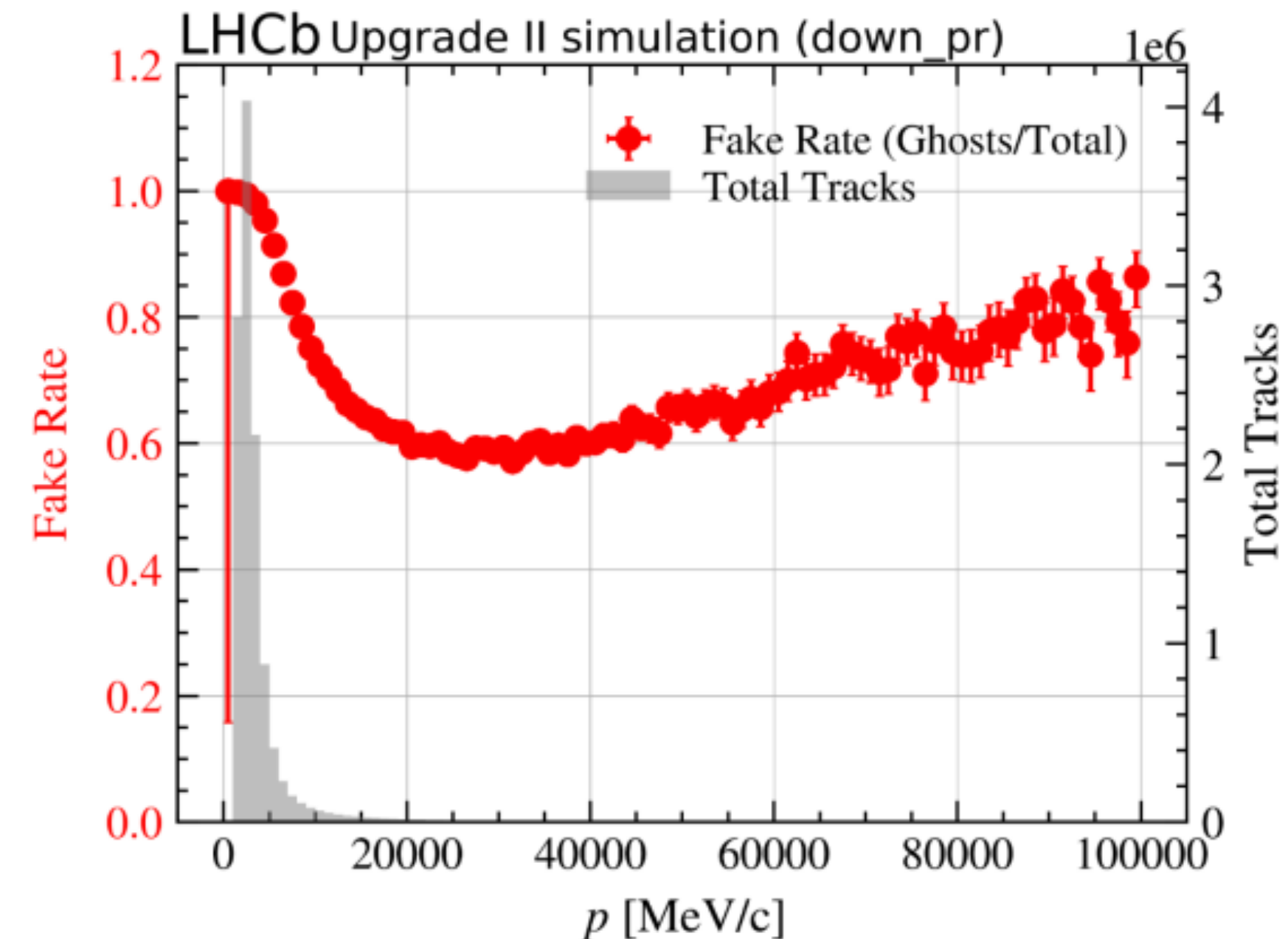
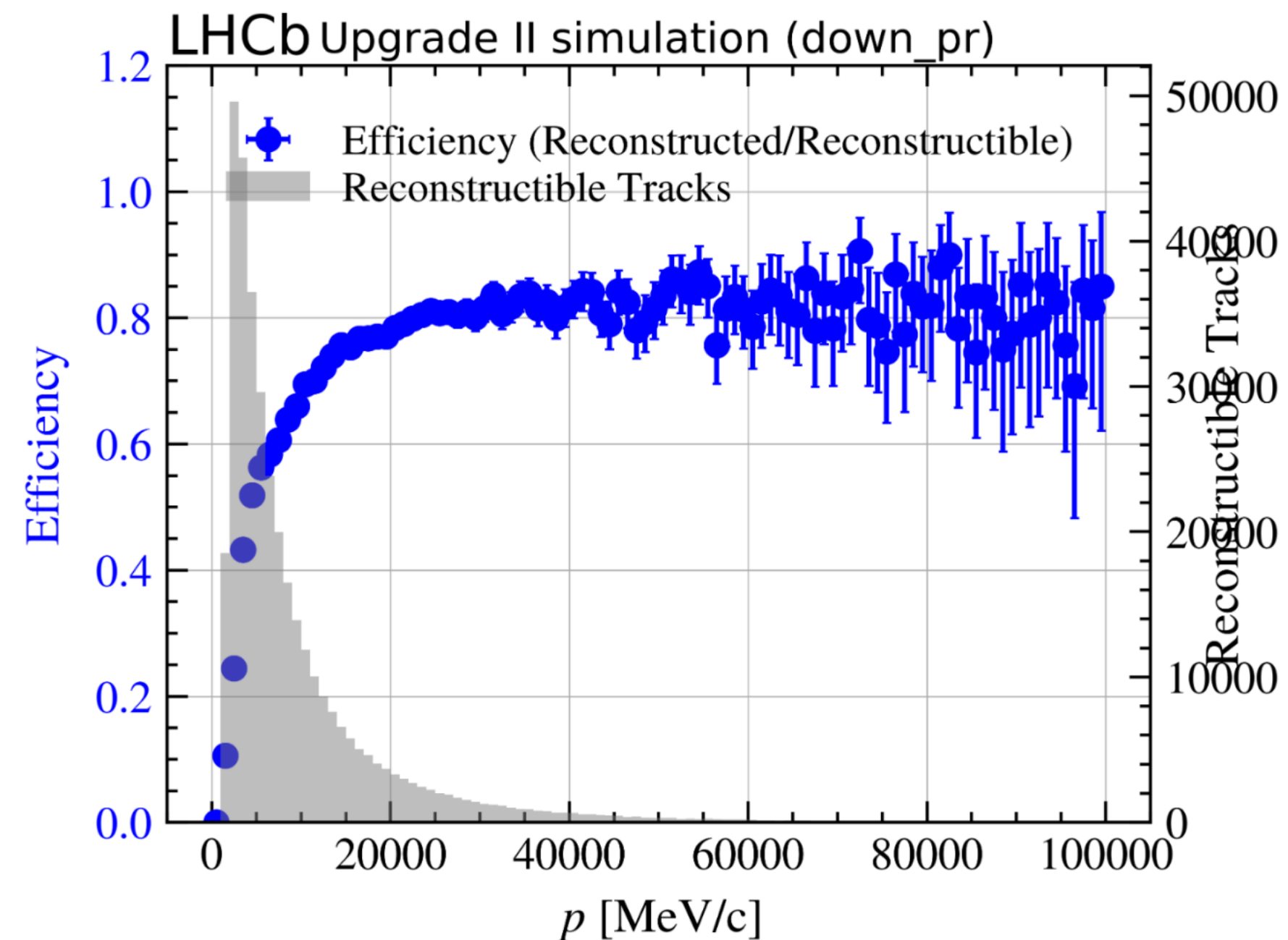
- Extrapolate TV and MT tracks to a common Z-plane
- Fast Pre-Filter: Discard candidates with large Y-mismatches
- Precision Matching: cut on χ^2 and MLP score
 - Compute χ^2 from position/angle differences, with dynamic error scaling
 - $\chi^2 = (\Delta X^2 / \text{tol}X) + (\Delta Y^2 / \text{tol}Y) + (\Delta Ty^2 \times 625)$
 - Evaluate match quality via MLP (6 inputs $\rightarrow$ mlp score)
 - Inputs: $\Delta X, \Delta Y, \Delta Tx, \Delta Ty, \chi^2, \text{TV } tx^2 + ty^2$

• Add UP tracks/UP hits into matched TV-MT tracks

- Similar with upstream track — extrapolate from TV

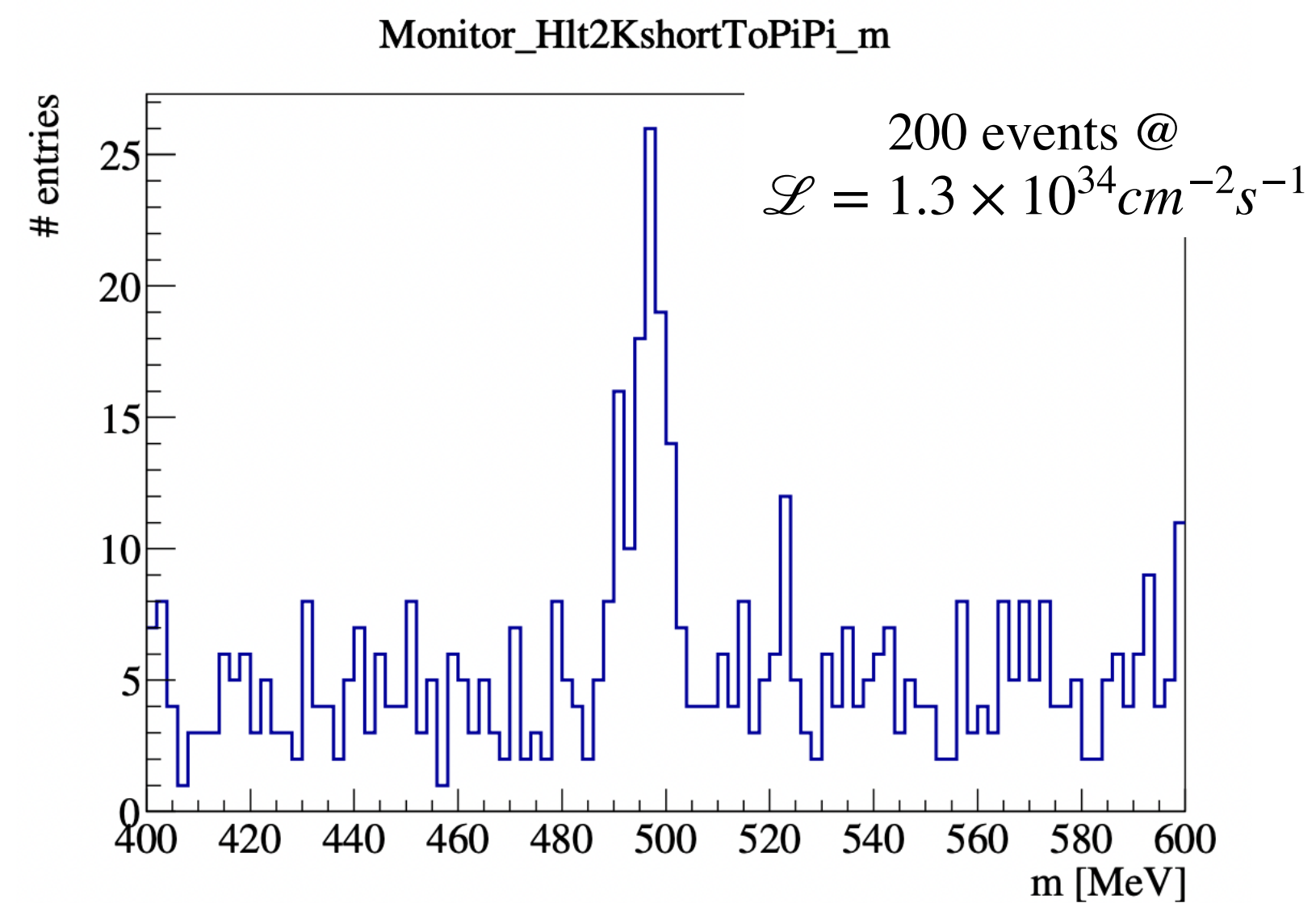


- Matching UP tracks and MT tracks
- Same algorithm with TV-MT matching, MT tracks matched UP tracks instead of TV tracks
- Without Kalman filter yet, the fake rate will reduce significantly with KF

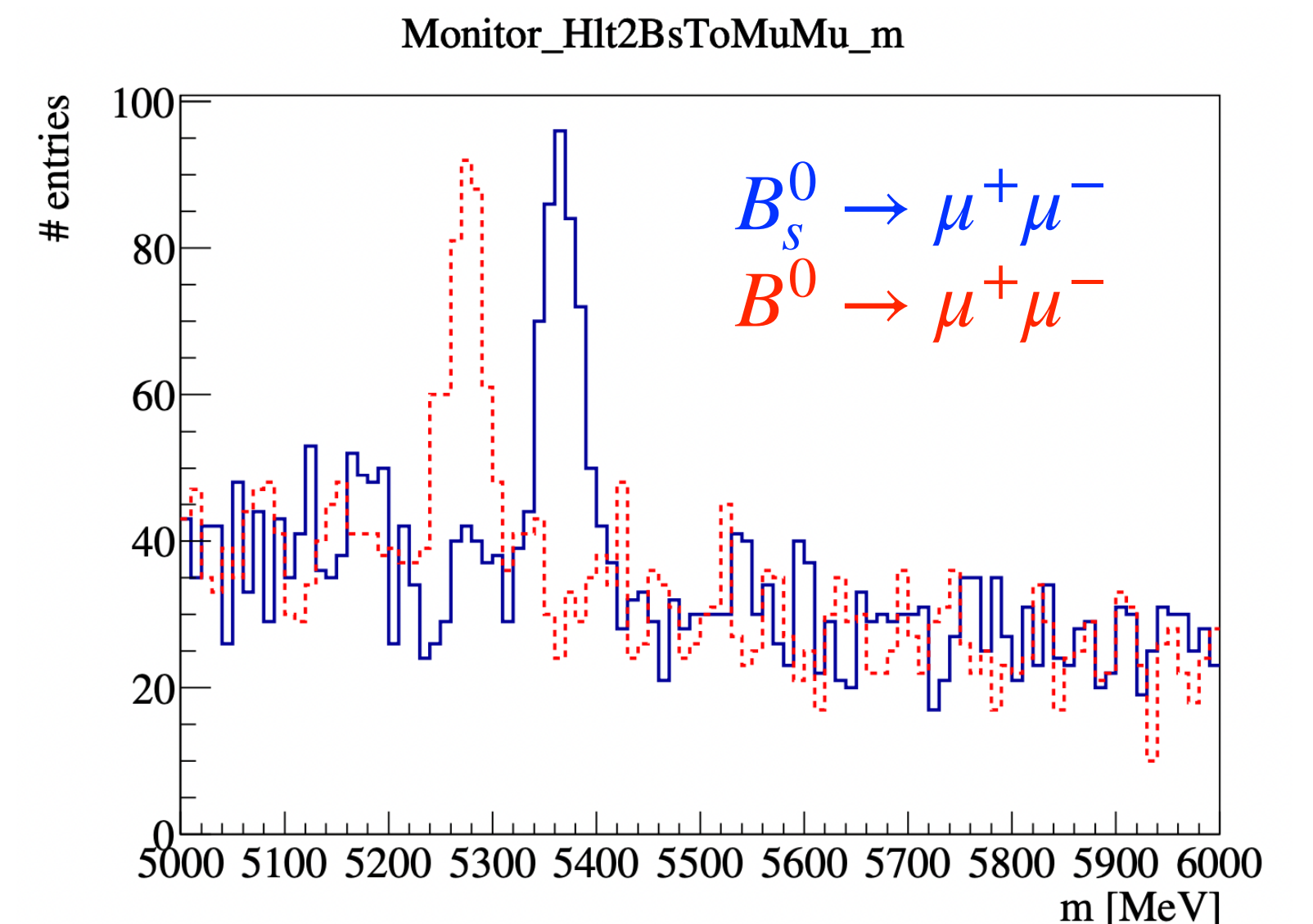
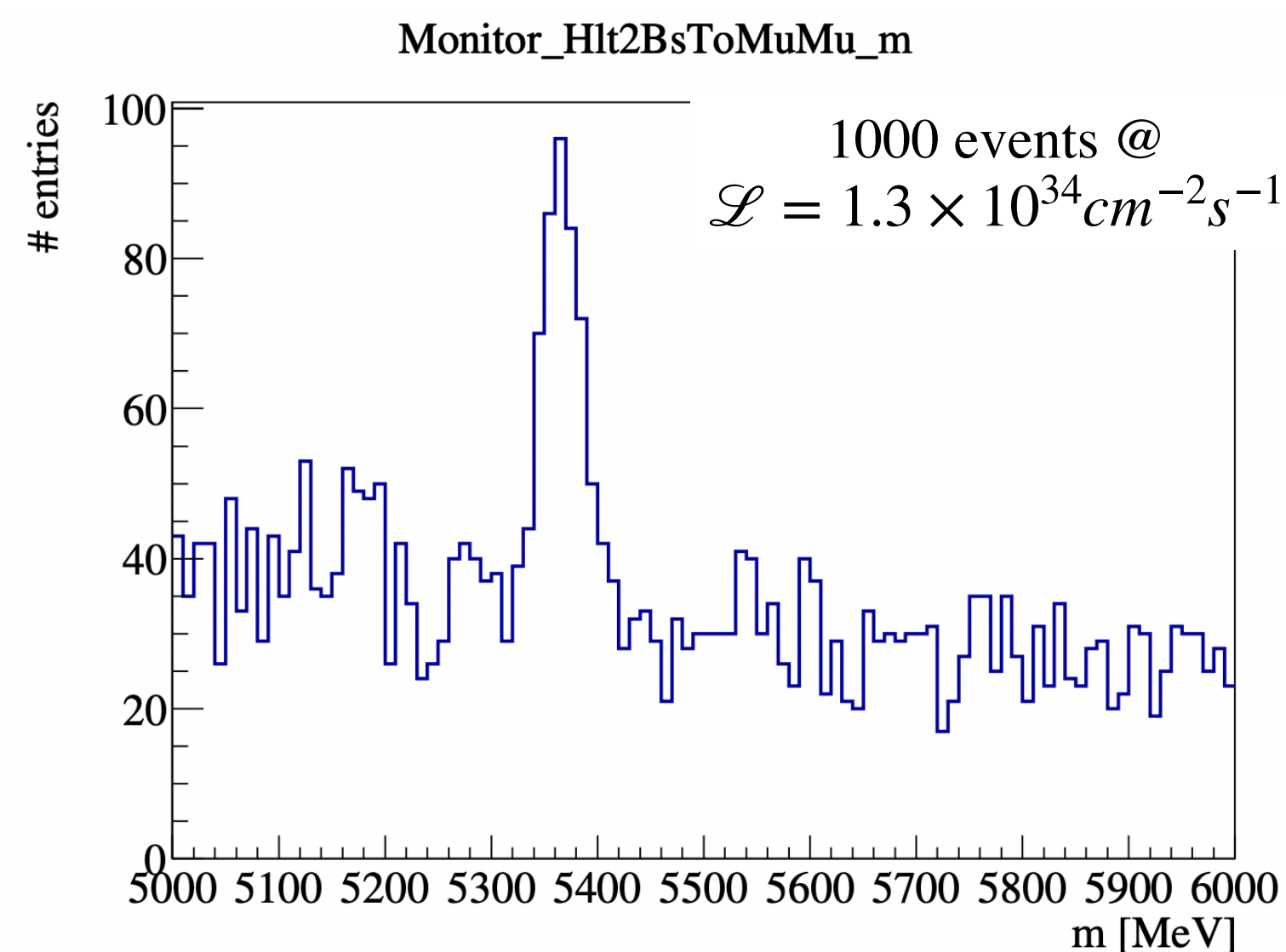


Reconstruct tracks on Upgrade2 simulation — Use Long Track

$K_s^0 \rightarrow \pi^+\pi^-$ mass peak



$B_s^0 \rightarrow \mu^+\mu^-$ mass peak



- Clear mass peak visible
- Well separated for $B_s^0 \rightarrow \mu^+\mu^-$ and $B^0 \rightarrow \mu^+\mu^-$

- All the sub-detectors of LHCb tracking system will upgrade in UII
- Nearly the entire tracking system will be constructed using high-resolution silicon pixel detectors
- The goals for UII is keep or improve detector performance as in UI
- The reconstruction algorithm development for UII is still ongoing

Backup

COFFEE development

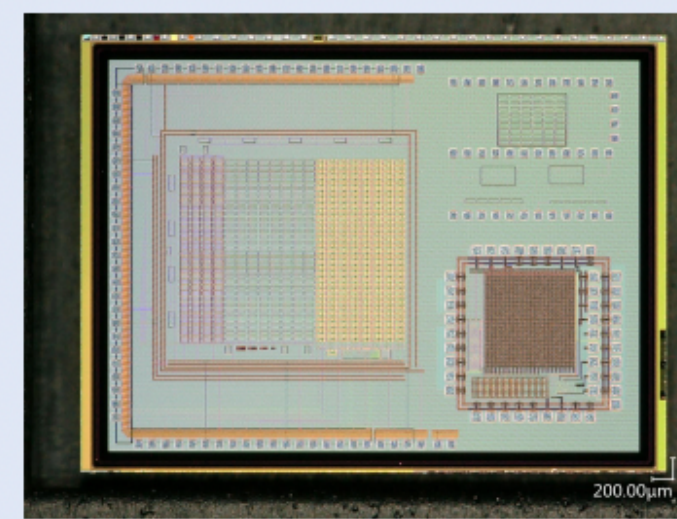
Team:

IHEP, CAS / KIT /
Zhejiang U / Hunan U /
Shandong U / NPU /
Dalian Minzu U ...

COFFEE 2

First HVCMOS 55nm prototype chip

- Breakdown at -70V
- Responsive to laser, X-ray and beta-ray sources



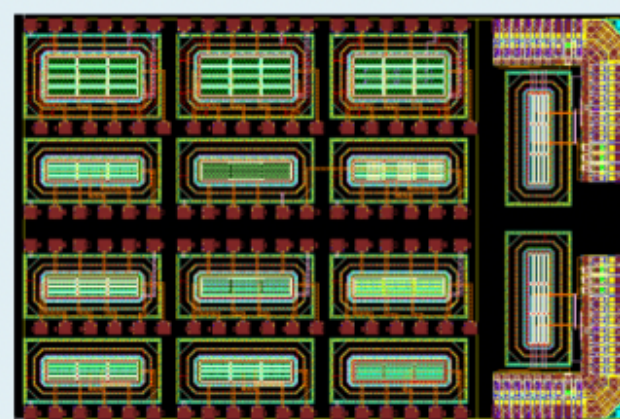
CMOS SENSOR IN
FIFTY-FIVE NM PROCESS

Goal: Full-size full
functioning sensor
chip ~2027



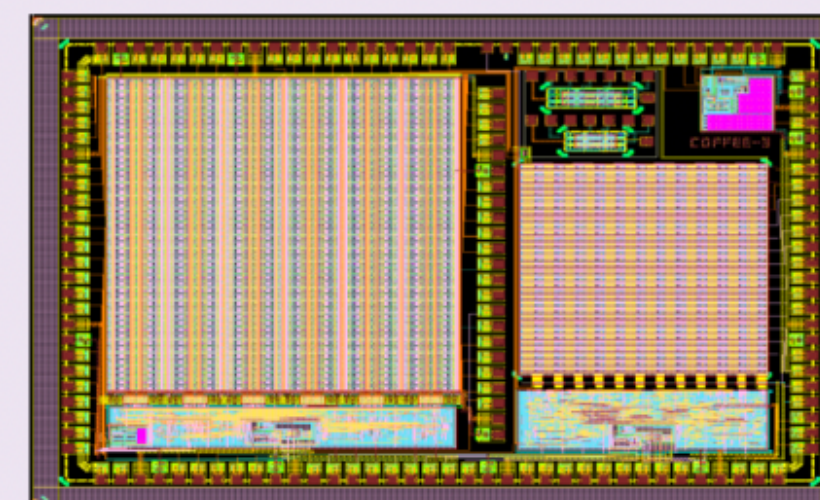
COFFEE1

- Prototype in LL process
- Validation of deep N-well structure
- Breakdown at -9V



COFFEE3

- Two pixel arrays with data-driven readout
- Designed for good timing resolution and moderate power consumption

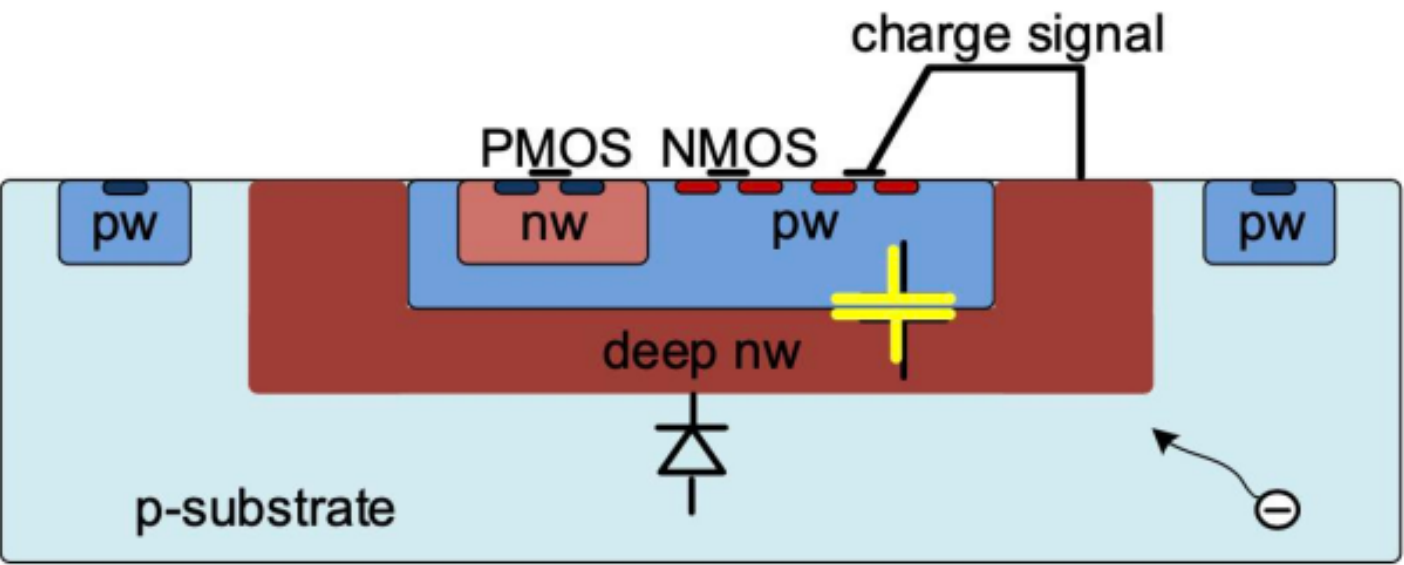


• UP Sensor requirements

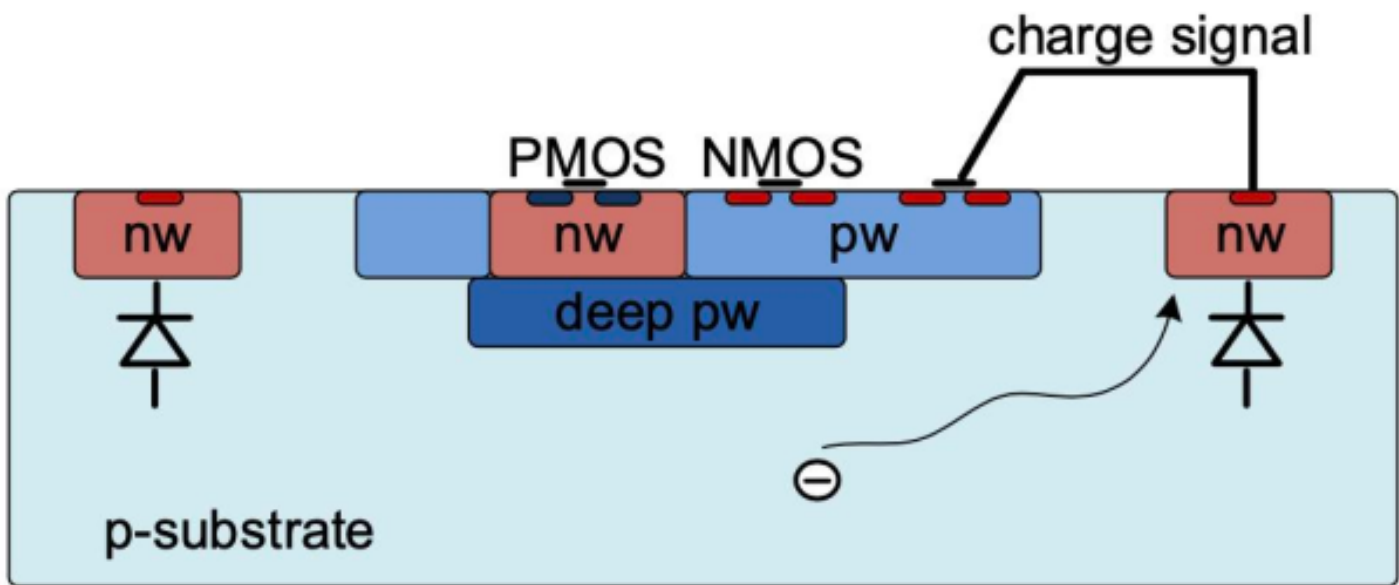
- Good spatial resolution especially in horizontal
- High radiation hardness: $3 \times 10^{15} n_{eq}/cm^2$
- Frontend design provide good timing resolution: 3-5 ns

• Candidate technologies: HV- or LV-CMOS

Technology	HV-CMOS	LV-CMOS	Sensor
AMS/TSI 180nm	✓		MightyPix
TowerJazz 180nm		✓	MALTA
LF 150nm	✓		RadPix
TPSCo 65nm		✓	SPARC
SMIC 55nm	✓		COFFEE



Large collection electrode



Small collection electrode

Parameter	UP Specification
Pixel size in x	$\leq 100\mu m$
Pixel size in y	$\leq 100\mu m$
Substrate thickness	$< 200\mu m$
Pixel orientation	Central sym.
Max. Particle Rate (R_{part})	74 (34) MHz/cm ²
Max. Hit Rate	150 Mhit s ⁻¹ cm ⁻²
Max. Length of the data word	32
Overall efficiency	> 96%
In-time efficiency	> 99% within 25ns
Noise rate (End of life)	$\leq 400\text{kHz}/cm^2$
Transmission rate	N×1.28 Gbit/s
NIEL	$3 \times 10^{15} n_{eq}/cm^2$
TID	240 MRad
Power Consumption	$\leq 300 \text{ mW}/cm^2$

UP Specification